

SUPPLEMENTARY INFORMATION

The tables and graphs in this Appendix provide historical and statistical data on enrollment, tuition and room and board rates, financial aid, faculty, staff, selected expenditures, the endowment, and fund balances. The short summaries below serve as an introduction to the schedules and highlight interesting trends or historical occurrences.

Schedule 1 - Student Enrollment for Autumn Quarter

The total enrollment for both undergraduate and graduate students continued to grow in 2012/13. Undergraduate enrollment increased by 1%, reaching a total of 6,999 students. Graduate student enrollment increased by a small margin to 8,871 students.

Schedule 2 - Freshman Student Apply/Admit/Enroll Statistics

The number of applicants for the present freshman class increased by 6.6%, reaching 36,632 in 2012/13, the largest pool in Stanford's history. As Stanford has become increasingly selective over the recent years, only 6.6% of applicants were accepted. Furthermore, Stanford's yield rate, at 73.1%, increased to its highest level.

Schedule 3 - New Graduate Student Apply/Admit/Enroll Statistics

The number of applicants to Stanford's graduate and professional programs showed the biggest increase in the past ten years, rising 8.0%, to 41,855 in 2012/13. The admit rate for Stanford's graduate and professional programs continues to decline, and only 10.6% of all applicants were admitted this year. The yield rate for graduate admits continues to increase and reached 58.2%, the highest level ever.

Schedule 4 - Postdoctoral Scholars by School and by Gender

The postdoctoral scholar population has been trending up in virtually all schools. Of 1,996 postdoctoral scholars in 2012/13, nearly two-thirds reside in the School of Medicine.

Schedule 5 - Graduate Student and Postdoc Support

At Stanford, teaching assistants and research assistants earn salaries as part of their compensation, and most receive an allowance towards their tuition charges. Graduate Fellows receive financial aid that covers some or all of their tuition charges, and most receive stipends that help cover living expenses. Postdoctoral students receive salaries and benefits as part of their appointment, and many also receive tuition allowance and living expense stipends.

Grants and contracts cover 27.4% of graduate student expenses and 72.1% of postdoctoral scholar expenses. University and school unrestricted (or general use) funds, designated funds, and endowment funds restricted specifically to graduate student aid cover the remaining expenses. In 2011/12, the support to graduate students and postdoctoral scholars at Stanford increased 3% and 4.2% respectively. The total support to them reached nearly \$408 million.

Schedule 6 – Graduate Enrollment by School and Degree

This table shows the trend of graduate student enrollment within each school and across degree programs. In 2012/13, approximately 64% of all graduate students are enrolled in either H&S or Engineering. Every school's enrollment has increased over the ten year period; Engineering has added the most students (506), and Earth Sciences has had the fastest growth (41.7%).

Schedule 7 – Undergraduate Tuition and Room & Board Rates

The 2013/14 undergraduate tuition rate is projected to increase to \$42,690, and the cost of room and board is projected to increase to \$13,166, with an average increase of 3.5% from the previous year. In real terms, the average annual increase over the past decade has been only 1.7%.

Schedule 8 – Undergraduate Financial Aid by Source of Funds and Type of Aid

This schedule shows the total amount of all types of financial aid awarded to undergraduate students, including non-need based scholarships. In 2011/12, 4,602 students received Stanford scholarship and external grants totaling \$165.9 million. In addition, 1,057 students received approximately \$7.9 million in long-term loans, and another 645 students earned \$1.3 million from the Federal Work-Study Program. In 2011/12, the external grants from Federal and State supporting the undergraduate financial aid decreased by 2%. This was mitigated by a 5.7% increase in funding from Stanford's own sources.

Schedule 9 – Undergraduate Financial Aid Budget Needs and Sources

This schedule shows the total needs and sources of support for undergraduate students who receive need-based financial aid. The total needs are driven by the growth in the student budget and by the number of students on aid. In 2013/14, the budget for need-based aid will increase by 2.5%. This increase is smaller than the approved 3.5% increase in tuition, room and board rates due to 30 fewer students requiring need-based aid in 2013/14. A significant increase in general funds will allow for a substantial decrease in the use of president's funds in support of undergraduate financial aid.

Schedule 10 – Majors with the Largest Number of Baccalaureate Degrees Conferred

This schedule shows the twenty undergraduate majors that granted the most degrees in 2011/12. Human Biology has consistently been the most popular over the nine year span. Computer Science increased significantly in 2011/12 and outpaced Biology and Economics as the second most popular major. It is interesting to note that the combined majors in the School of Engineering had 426 students in 2011/12, a number that has steadily increased in recent years.

Schedule 11 – Students Housed on Campus

The percent of undergraduates housed on-campus has been about 90% for the twenty years shown in this table. The graduate on-campus housing program has expanded significantly since 1998/99, and on average 56% of graduate students are housed by Stanford. The subsidized off-campus housing program grew rapidly this year, due to displacement caused by the construction of new graduate housing on campus. This number will likely scale back when the new graduate housing building comes online.

Schedule 12 – Total Professorial Faculty

The total professoriate has increased by 61 (about 3.2%) to a total of 1,995 in 2012/13. The majority of the increase is attributable to 48 new tenure-line faculty members, representing a 3.6% growth from last year. The number of non-tenure line faculty, consisting mostly of the Medical Center Line faculty, inched up by 13 this year. Over the period of ten years, this cohort grew at an annual pace of about 2%.

Schedule 13 – Distribution of Tenured, Non-Tenured, and Non-Tenure Line Faculty

This schedule provides a disaggregated view of the data in Schedule 12 by school over the last three years. It shows that the university-wide faculty cohort has expanded across all three categories. The total number of tenured faculty has increased by 51 (about 5%) in the past two years; the number of non-tenured faculty in the tenure line has increased by 23 (about 8%); and the number of non-tenure line faculty has increased by 18 (about 3%) during the same period. The Schools of Medicine and Humanities and Sciences hold 72% of faculty appointments across the university in 2012/13.

Schedule 14 - Number of Non-Teaching Employees

This schedule shows the number of regular non-teaching employees by academic, administrative, and auxiliary units at Stanford. The number of employees increased by 539 (4.6%) in 2012. In particular, the School of Medicine added 177 employees (4.8%) due to continuing strong clinical research activities; and Business Affairs added 45 employees due to new human resources initiatives that were implemented in 2012. In addition, 37 overseas staff at VPUE were accounted for in the university HR system for the first time in 2012, which explains the 17.2% growth in other academic units.

Schedule 15 - Fringe Benefits Detail

Fringe benefits rates provide a mechanism to support the various components of non-salary compensation provided to employees. Stanford has four distinct fringe benefits rates for (1) regular benefits-eligible employees, which include most faculty and staff; (2) postdoctoral research affiliates; (3) casual/temporary employees; and (4) graduate research and teaching assistants. This schedule shows the programs and costs that contribute to the weighted average of the four individual benefits rates, which was 28.2% in 2011/12. Overall, total fringe benefits program costs increased by 10% in 2011/12 after adjustment from prior years. The retirement program cost increased by 13%, largely driven by the \$10.5 million required reserve contribution to the Stanford Retirement Annuity Plan due to underfunded pension obligations. The medical insurance cost rose 19% from 2010/11 due to significant medical cost inflation and enrollment growth.

Schedule 16 - Sponsored Research Expense by Agency and Fund Source

In 2011/12, direct research expenses sponsored by the federal government decreased by \$19.9 million (about 4.3%) after two consecutive years of inflows of the federal stimulus (ARRA) funding. In contrast, direct research expenses sponsored by non-federal sources increased by \$6.3 million (about 3.0%) over the previous year. Overall, the direct research volume totaling \$594.0 million in 2011/12 still outpaced the pre-ARRA years. Federal sponsored research contributed 75% of the total sponsored research expenses in 2011/12.

Schedule 17 - Sponsored Research Contracts and Grants by School

This table presents the sponsored research expenses of the schools and the Dean of Research over a span of seven years. The expenses of the School of Medicine, as a percentage of campus-wide sponsored research projects, stand at 59% in 2011/12. Compared to the prior year, the School of Humanities and Sciences, the School of Medicine, and the Dean of Research all demonstrate a decline in sponsored research volume due to phase-out of the federal stimulus funding. The School of Earth Sciences and the Graduate School of Education show major growth over the past three years.

Schedule 18 - Plant Expenditures by Unit

This schedule shows expenses from plant or borrowed funds for building or infrastructure projects related to various units. Expenditures for equipment are excluded from these figures. Total plant expenditures decreased by \$300.2 million (or 61.5%) in 2011/12 after four consecutive years of ramp-up in capital construction and improvement. Among units, significant decreases exist in the Graduate School of Business, which moved into the Knight Manager Center, and the Law School, which completed the new Neukom Building in 2011/12. Residential & Dining Enterprises, with the opening of the Arrillaga Family Dining Commons and maintenance backlog work, more than tripled their plant expenditures over the previous year.

Schedule 19 - Endowment Market Value and Merged Pool Rate of Return

The annual nominal rate of return for the merged pool in 2011/12 was 1.0% for the 12 months ending June 30, 2012. The endowment market value was up to \$17.0 billion, only 1% below the pre-recession peak level. The target payout rate is 5.5% in 2011/12.

Schedule 20 - Expendable Fund Balances at Year End

This schedule shows total expendable fund balances (excluding sponsored research) by academic unit over the past decade. The Hoover Institute has the fastest compound annual growth over the period at 10.1%, followed by the Graduate School of Education at 8.9% and the Graduate School of Business at 8.0%. The School

of Medicine, which represents about 42% of the total academic unit fund balances (excluding SLAC), projects their ending fund balances to double between 2003/04 and 2013/14, the largest dollar growth over the decade.

Schedule 21 - Academic Unit Expendable Fund Balances at Year End by Level of Control

This schedule shows total fund balances (excluding sponsored research) by level of control within the academic units over the last three years along with the compound annual growth. Level of control indicates where within the school control over the use of funds resides. For example, funds controlled at the faculty/PI level are not available for general school use. The dynamics of fund balance growth has varied by level of control among the schools. Overall, approximately 80% of the fund balances were split between the school/institution and department/program levels in

2011/12. The fund balances at the faculty level remained strong as well and had a three-year compound annual growth of 6.8%.

Schedule 22 - Consolidated Budget for Operations History

This schedule shows past actual results from 2006/07 through 2011/12, plus the 2012/13 year end projection and the 2013/14 forecast for the Consolidated Budget for Operations. It also shows the compound annual growth rate for this time span. Overall, revenues have grown slightly slower (5.2%) than expenses (5.4%), but the operating results continue to be strong in every year. The change in fund balances each year is the difference between operating results and transfers to assets. While transfers to assets vary year to year, total university fund balances have increased by an average of 6.5% per year over the period.

**STUDENT ENROLLMENT FOR AUTUMN QUARTER
2003/04 through 2012/13**

YEAR	UNDERGRADUATE			GRADUATE			TGR ¹			TOTAL	TOTAL
	WOMEN	MEN	TOTAL	WOMEN	MEN	TOTAL	WOMEN	MEN	TOTAL	GRADUATE	ALL
2003/04	3,245	3,409	6,654	2,282	4,220	6,502	511	787	1,298	7,800	14,454
2004/05	3,250	3,503	6,753	2,363	4,408	6,771	529	792	1,321	8,092	14,845
2005/06	3,204	3,501	6,705	2,384	4,424	6,808	543	825	1,368	8,176	14,881
2006/07	3,240	3,449	6,689	2,389	4,492	6,881	522	798	1,320	8,201	14,890
2007/08	3,313	3,446	6,759	2,382	4,439	6,821	550	815	1,365	8,186	14,945
2008/09	3,384	3,428	6,812	2,450	4,509	6,959	548	821	1,369	8,328	15,140
2009/10	3,405	3,473	6,878	2,507	4,529	7,036	558	847	1,405	8,441	15,319
2010/11	3,334	3,553	6,887	2,635	4,678	7,313	597	869	1,466	8,779	15,666
2011/12	3,342	3,585	6,927	2,651	4,675	7,326	571	899	1,470	8,796	15,723
2012/13	3,346	3,653	6,999	2,697	4,690	7,387	600	884	1,484	8,871	15,870

Source: Registrar's Office fall quarter third week enrollment figures

¹ Terminal Graduate Registration (TGR) allows students to register at a reduced tuition rate while they work on a dissertation, thesis, or department project.

SCHEDULE 2

FRESHMAN APPLY/ADMIT/ENROLL STATISTICS

Fall 2003 through Fall 2012

YEAR	TOTAL APPLICATIONS		ADMISSIONS		ENROLLMENT	
	NUMBER	PERCENT CHANGE FROM PREVIOUS YEAR	NUMBER	PERCENT OF APPLICANTS ADMITTED	NUMBER	PERCENT OF ADMITTED APPLICANTS ENROLLING
Fall 2003	18,628	0.2%	2,343	12.6%	1,640	70.0%
Fall 2004	19,172	2.9%	2,486	13.0%	1,648	66.3%
Fall 2005	20,195	5.3%	2,426	12.0%	1,633	67.3%
Fall 2006	22,333	10.6%	2,444	10.9%	1,648	67.4%
Fall 2007	23,958	7.3%	2,464	10.3%	1,723	69.9%
Fall 2008	25,299	5.6%	2,400	9.5%	1,703	71.0%
Fall 2009	30,429	20.3%	2,426	8.0%	1,694	69.8%
Fall 2010	32,022	5.2%	2,340	7.3%	1,674	71.5%
Fall 2011	34,348	7.3%	2,437	7.1%	1,707	70.0%
Fall 2012	36,632	6.6%	2,423	6.6%	1,771	73.1%

NEW GRADUATE STUDENT APPLY/ADMIT/ENROLL STATISTICS

Fall 2003 through Fall 2012

YEAR ENTERING STANFORD	TOTAL APPLICATIONS		ADMISSIONS		ENROLLMENT	
	NUMBER	PERCENT CHANGE FROM PREVIOUS YEAR	NUMBER	PERCENT OF APPLICANTS ADMITTED	NUMBER	PERCENT OF ADMITTED APPLICANTS ENROLLING
Fall 2003	32,503	6.6%	4,443	13.7%	2,300	51.8%
Fall 2004	30,630	-5.8%	4,361	14.2%	2,378	54.5%
Fall 2005	30,381	-0.8%	4,356	14.3%	2,405	55.2%
Fall 2006	31,583	4.0%	4,323	13.7%	2,337	54.1%
Fall 2007	33,623	6.5%	4,352	12.9%	2,400	55.1%
Fall 2008	34,566	2.8%	4,350	12.6%	2,379	54.7%
Fall 2009	36,326	5.1%	4,419	12.2%	2,345	53.1%
Fall 2010	37,983	4.6%	4,580	12.1%	2,608	56.9%
Fall 2011	38,750	2.0%	4,570	11.8%	2,628	57.5%
Fall 2012	41,855	8.0%	4,439	10.6%	2,582	58.2%

SCHEDULE 4

POST-DOCTORAL SCHOLARS BY SCHOOL AND BY GENDER¹ 2003/04 through 2012/13

By School	2003/04	2004/05	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12	2012/13
Graduate School of Business	0	0	0	0	0	0	2	0	0	0
Earth Sciences	24	27	22	30	32	26	40	44	50	59
Graduate School of Education	8	4	5	10	10	10	11	9	9	12
Engineering	107	129	127	117	144	158	202	212	228	259
Humanities and Sciences	277	297	268	263	283	284	315	392	401	413
Law	1	1	1	0	0	1	1	0	2	1
Medicine	995	1,006	968	1,042	1,037	1,033	1,090	1,231	1,247	1,252
Total	1,412	1,464	1,391	1,462	1,506	1,512	1,661	1,888	1,937	1,996

By Gender

Female	549	573	512	557	581	607	673	754	795	828
Male	863	891	879	905	925	905	988	1,134	1,142	1,168

Data Source: Registrar's Office third week enrollment figures

¹ The post-doctoral scholar population includes medical fellows in the School of Medicine.

GRADUATE STUDENT AND POSTDOC SUPPORT

[IN MILLIONS OF DOLLARS]

	2010/11				2011/12				2010/11 TO 2011/12			
	GENERAL/SCHOOL FUNDS ¹	DESIGNATED FUNDS	RESTRICTED STUDENT AID FUNDS	GRANTS & CONTRACTS	TOTAL	GENERAL/SCHOOL FUNDS ¹	DESIGNATED FUNDS	RESTRICTED STUDENT AID FUNDS	GRANTS & CONTRACTS	TOTAL	AMOUNT	PERCENT CHANGE
Graduate Student Support												
Salaries												
Teaching Assistants	10.0	0.5	9.8	0.1	20.4	12.5	0.7	9.0	0.1	22.3	1.8	9.0%
Research Assistants	5.7	5.4	11.3	38.2	60.5	6.0	6.4	12.2	37.9	62.6	2.0	3.3%
Other Salaries	0.2	0.7	0.3	0.4	1.6	0.3	0.5	0.3	0.3	1.4	(0.1)	-9.5%
Benefits	2.7	4.2	5.1	3.5	15.5	3.0	3.6	3.7	2.1	12.5	(3.0)	-19.4%
Total Salaries & Benefits	18.6	10.8	26.4	42.1	98.0	21.8	11.2	25.3	40.4	98.7	0.7	0.7%
Tuition Allowance	34.1	2.7	6.8	19.1	62.6	35.6	3.2	6.8	17.1	62.8	0.2	0.3%
Fellowship Tuition	15.0	3.3	58.2	8.3	84.9	19.8	2.8	56.9	9.6	89.0	4.2	4.9%
Stipends	12.7	2.4	23.7	15.9	54.6	15.2	2.6	23.2	17.5	58.6	4.0	7.3%
Total Graduate Student Support	80.4	19.2	115.1	85.4	300.2	92.5	19.8	112.2	84.7	309.2	9.0	3.0%
Percent of Total	26.8%	6.4%	38.4%	28.5%	100.0%	29.9%	6.4%	36.3%	27.4%	100.0%		
Postdocs												
Salaries	1.6	6.0	7.0	45.9	60.5	1.6	7.4	7.3	46.7	63.0	2.5	4.1%
Benefits	0.3	1.2	1.3	8.8	11.6	0.3	1.6	1.6	10.3	13.7	2.1	18.0%
Tuition	0.4	0.1	0.0	0.0	0.5	0.3	0.1	0.0	0.0	0.4	(0.0)	-7.6%
Stipends	0.3	1.9	5.5	14.0	21.7	0.5	1.8	5.0	14.0	21.2	(0.5)	-2.5%
Total Postdoc Support	2.6	9.2	13.8	68.8	94.4	2.7	10.8	14.0	70.9	98.4	4.0	4.2%
Percent of Total	2.7%	9.7%	14.6%	72.9%	100.0%	2.7%	11.0%	14.2%	72.1%	100.0%		

¹ General/School fungible funds are general funds and some Gift and Endowed funds that can be used for any purpose within a school.

SCHEDULE 6

GRADUATE ENROLLMENT BY SCHOOL AND DEGREE¹ 2003/04 through 2012/13

	2003/04	2004/05	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12	2012/13
Graduate School of Business	919	902	893	906	883	877	895	928	940	961
Doctorate	114	106	99	101	101	99	97	101	105	103
Masters	54	55	56	57	55	60	57	56	67	82
Professional	751	741	738	748	727	718	741	771	768	776
Earth Sciences	247	256	251	252	242	256	286	309	338	350
Doctorate	189	201	197	207	195	202	219	233	270	277
Masters	58	55	54	45	47	54	67	76	68	73
Graduate School of Education	314	335	366	348	333	346	335	365	355	343
Doctorate	173	178	175	174	174	178	166	181	171	178
Masters	141	157	191	174	159	168	169	184	184	165
Engineering	2,912	3,055	3,126	3,153	3,133	3,267	3,289	3,452	3,452	3,418
Doctorate	1,393	1,427	1,438	1,496	1,474	1,568	1,593	1,604	1,694	1,716
Masters	1,519	1,628	1,688	1,657	1,659	1,699	1,696	1,848	1,758	1,702
Humanities & Sciences	1,997	2,088	2,044	2,061	2,091	2,103	2,092	2,162	2,159	2,224
Doctorate	1,712	1,787	1,758	1,731	1,756	1,746	1,748	1,799	1,794	1,845
Masters	285	301	286	330	335	357	344	363	365	379
Law	577	567	586	600	593	586	590	636	631	641
Doctorate	29	26	28	30	25	21	17	17	20	23
Masters ²	34	32	35	38	37	39	35	63	59	63
Professional	514	509	523	532	531	526	538	556	552	555
Medicine	834	889	910	881	911	893	954	927	921	934
Doctorate	360	397	404	404	433	422	434	427	428	431
Masters	30	45	47	37	34	35	62	59	64	61
Professional	444	447	459	440	444	436	458	441	429	442
University-wide	7,800	8,092	8,176	8,201	8,186	8,328	8,441	8,779	8,796	8,871
Doctorate	3,970	4,122	4,099	4,143	4,158	4,236	4,274	4,362	4,482	4,573
Masters	2,121	2,273	2,357	2,338	2,326	2,412	2,430	2,649	2,565	2,525
Professional	1,709	1,697	1,720	1,720	1,702	1,680	1,737	1,768	1,749	1,773

Data Source: Registrar's Office third week enrollment figures

¹ Includes doctoral (including Terminal Graduate Registration), masters, and professional students (i.e., JDs, MDs, MBAs). Excludes MLAs in Continuing Studies.

² LLMs and JSMs are re-classified to masters in this table from 2012/13.

**UNDERGRADUATE TUITION AND ROOM & BOARD RATES
1984/85 through 2013/14**

[IN DOLLARS]

YEAR	UNDERGRADUATE TUITION	PERCENT CHANGE FROM PREVIOUS YEAR	ROOM & BOARD	PERCENT CHANGE FROM PREVIOUS YEAR	TOTAL COST	PERCENT CHANGE FROM PREVIOUS YEAR
1984/85	9,705	7.5%	4,146	8.8%	13,851	7.9%
1985/86	10,476	7.9%	4,417	6.5%	14,893	7.5%
1986/87	11,208	7.0%	4,700	6.4%	15,908	6.8%
1987/88	11,880	6.0%	4,955	5.4%	16,835	5.8%
1988/89	12,564	5.8%	5,257	6.1%	17,821	5.9%
1989/90	13,569	8.0%	5,595	6.4%	19,164	7.5%
1990/91	14,280	5.2%	5,930	6.0%	20,210	5.5%
1991/92	15,102	5.8%	6,160	3.9%	21,262	5.2%
1992/93	16,536	9.5%	6,314	2.5%	22,850	7.5%
1993/94	17,775	7.5%	6,535	3.5%	24,310	6.4%
1994/95	18,669	5.0%	6,796	4.0%	25,465	4.8%
1995/96	19,695	5.5%	7,054	3.8%	26,749	5.0%
1996/97	20,490	4.0%	7,337	4.0%	27,827	4.0%
1997/98	21,300	4.0%	7,557	3.0%	28,857	3.7%
1998/99	22,110	3.8%	7,768	2.8%	29,878	3.5%
1999/00	23,058	4.3%	7,881	1.5%	30,939	3.6%
2000/01	24,441	6.0%	8,030	1.9%	32,471	5.0%
2001/02	25,917	6.0%	8,304	3.4%	34,221	5.4%
2002/03	27,204	5.0%	8,680	4.5%	35,884	4.9%
2003/04	28,563	5.0%	9,073	4.5%	37,636	4.9%
2004/05	29,847	4.5%	9,500	4.7%	39,347	4.5%
2005/06	31,200	4.5%	9,932	4.5%	41,132	4.5%
2006/07	32,994	5.8%	10,367	4.4%	43,361	5.4%
2007/08	34,800	5.5%	10,808	4.3%	45,608	5.2%
2008/09	36,030	3.5%	11,182	3.5%	47,212	3.5%
2009/10	37,380	3.7%	11,463	2.5%	48,843	3.5%
2010/11	38,700	3.5%	11,876	3.6%	50,576	3.5%
2011/12	40,050	3.5%	12,291	3.5%	52,341	3.5%
2012/13	41,252	3.0%	12,721	3.5%	52,973	3.1%
2013/14	42,690	3.5%	13,166	3.5%	55,856	3.5%

	TUITION	ROOM & BOARD	TOTAL
Compound Annual Increase, 1984/85-2012/13:	5.2%	4.1%	4.9%
Compound Annual Increase, 2003/04-2012/13 (10 years):	4.1%	3.7%	4.0%
Compound Annual Real Increase ¹ , 1984/85-2012/13:	2.5%	1.3%	2.1%
Compound Annual Real Increase ¹ , 2003/04-2012/13 (10 years):	1.7%	1.4%	1.7%
Average Annual CPI Increase, 1984/85-2012/13:			2.8%
Average Annual CPI Increase, 2003/04-2012/13 (10 years):			2.3%

¹ Real growth calculated using amounts adjusted to 2012 dollars using U.S. Annual CPI-U (Consumer Price Index) values from U.S. Bureau of Labor Statistics.

SCHEDULE 8

UNDERGRADUATE FINANCIAL AID BY TYPE OF AID AND SOURCE OF FUNDS¹

2002/03 through 2011/12

[IN THOUSANDS OF DOLLARS]

	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12
Stanford Scholarships										
Need-based Awards ²										
Stanford Unrestricted Funds	14,010	14,277	14,663	12,927	5,539	997	4,310	26,829	36,269	34,586
Gifts and Endowment Income ³	38,317	41,357	43,749	47,983	61,026	74,487	99,682	89,180	83,352	92,260
Athletic Awards	11,331	11,809	12,687	13,393	14,999	15,227	15,942	16,756	17,381	18,018
Total Stanford Scholarships	63,658	67,443	71,099	74,303	81,565	90,711	119,934	132,765	137,002	144,864
External Grants										
Federal	5,262	5,491	5,041	4,408	5,005	5,285	5,627	7,495	7,581	7,474
State	4,922	5,123	5,291	4,263	3,780	3,860	3,117	3,548	3,811	3,666
Other	10,248	10,747	11,035	9,690	10,317	10,070	10,216	10,304	10,085	9,904
Total External Grants	20,431	21,361	21,367	18,361	19,102	19,215	18,961	21,348	21,477	21,043
Loans										
Federal	8,955	9,956	9,815	8,656	7,876	6,545	4,447	5,396	5,083	5,786
Other	2,735	2,611	2,456	2,893	2,885	3,044	3,194	1,610	1,874	2,097
Total Loans	11,690	12,567	12,271	11,549	10,761	9,589	7,641	7,006	6,957	7,883
Federal Work-Study Earnings	648	925	1,201	1,485	1,150	1,022	1,078	1,227	1,212	1,336
Grand Total	96,426	102,296	105,938	105,698	112,579	120,537	147,614	162,345	166,647	175,126

¹ Figures are actual expenses and are in thousands of dollars. The data include all funds awarded to undergraduate students administered through the Financial Aid Office, including aid that is not need-based.

² Includes some endowed funds that are not need-based per donors' wishes. The amount is \$192,240 in 2011/12.

³ Thus, the figures in this schedule will not equal the sum of the amounts for Stanford funded need-based awards in Schedule 9.

⁴ Includes support from the Stanford Fund.

UNDERGRADUATE FINANCIAL AID
Projected 2012/13 and 2013/14 Student Budget Needs and Sources,
Including Parental and Student Contributions¹

[IN THOUSANDS OF DOLLARS]

	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12	2012/13	2013/14	2012/13 TO 2013/14	
	ACTUALS	ACTUALS	ACTUALS	ACTUALS	ACTUALS	ACTUALS	PROJECTED	BUDGET	AMOUNT	PERCENT
Needs										
Tuition, Room & Board	118,009	125,807	145,595	163,055	168,664	177,792	180,563	185,225	4,662	2.6%
Books and Personal Expenses	9,721	10,172	13,878	16,690	17,175	17,755	17,925	18,212	287	1.6%
Travel	1,864	1,947	2,313	2,549	2,553	2,638	2,651	2,703	52	2.0%
Total Student Expenses	129,595	137,926	161,785	182,295	188,392	198,184	201,140	206,141	5,001	2.5%
Sources										
Total Family Contribution (Includes parent contribution for aided students, self-help, summer savings, assets, etc.)	50,824	50,294	45,957	51,302	53,025	56,580	58,696	60,518	1,822	3.1%
Endowment Income ²	49,679	67,866	80,435	72,393	66,265	71,833	76,027	80,658	4,631	6.1%
Expendable Gifts	1,230	1,026	1,311	1,272	1,226	1,276	1,250	1,600	350	28.0%
Stanford Fund/President's Funds	10,040	5,305	20,382	39,468	40,284	38,131	26,181	17,151	(9,030)	-34.5%
Federal Grants	4,233	4,515	5,042	6,899	7,072	6,003	5,400	5,352	(48)	-0.9%
California State Scholarships	3,683	3,827	3,091	3,526	3,738	3,587	3,400	3,320	(80)	-2.4%
Outside Awards	4,366	4,096	4,687	5,077	5,168	5,312	5,242	5,248	6	0.1%
Department Sources	557	997	880	863	954	1,198	875	883	8	0.9%
Unrestricted Funds	4,982	0	0	1,495	10,407	14,264	24,068	31,410	7,343	30.5%
Total Sources	129,595	137,926	161,785	182,295	188,392	198,184	201,140	206,141	5,001	2.5%
Number of Students on Need-Based Aid	2,775	2,811	3,136	3,401	3,396	3,464	3,410	3,380	(30)	-0.9%

¹ In this table, sources of aid other than the family contribution include only aid awarded to students who are receiving need-based scholarship aid from Stanford. Thus, the sum of the amounts for scholarships and grants will not equal the figures in Schedule 8.

² Endowment income includes reserve funds and specifically invested funds.

SCHEDULE 10

MAJORS WITH THE LARGEST NUMBER OF BACCALAUREATE DEGREES CONFERRED¹ 2003/04 through 2011/12

	2003/04	2004/05	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12
Human Biology	162	184	187	167	193	228	219	191	177
Computer Science	111	108	82	70	66	65	86	87	144
Biology	131	141	156	151	140	121	123	124	106
Economics	171	194	164	143	165	162	141	120	103
Engineering	44	40	50	62	73	93	82	99	99
International Relations	90	97	91	87	107	102	108	103	96
Psychology	93	107	97	102	80	73	79	72	94
Political Science	91	111	113	103	96	71	74	72	72
Management Science and Engineering	65	72	58	56	54	51	59	64	69
English	87	79	88	92	57	75	69	58	68
History	83	63	60	71	50	59	63	56	67
Earth Systems	30	36	21	23	26	24	32	40	53
Science, Technology and Society	33	26	20	22	24	35	40	60	53
Mechanical Engineering	52	61	67	59	55	48	54	56	50
Mathematics	34	48	32	48	36	48	35	37	43
Electrical Engineering	48	65	69	48	37	47	36	43	39
Chemistry	13	15	19	21	19	23	18	13	29
Communication	36	41	29	36	43	41	38	43	29
Materials Science and Engineering	4	8	2	3	14	7	7	10	25
Public Policy	22	25	30	34	14	20	22	26	25

Source: Registrar's Office

¹ This table includes the 20 degrees in which the most undergraduate degrees were awarded in 2011/12.

STUDENTS HOUSED ON CAMPUS

1993/94 through 2012/13

YEAR	UNDERGRADUATES HOUSED ON-CAMPUS	PERCENT OF UNDERGRADUATES HOUSED ON-CAMPUS	GRADUATE STUDENTS HOUSED ON-CAMPUS	GRADUATE STUDENTS HOUSED IN OFF-CAMPUS SUBSIDIZED APARTMENTS	PERCENT OF GRADUATE STUDENTS HOUSED BY STANFORD
1993/94	5,799	88%	3,069		41.3%
1994/95	5,734	87%	3,132		41.9%
1995/96	5,819	88%	3,090		41.4%
1996/97	5,749	88%	2,980		41.0%
1997/98	5,864	88%	3,320		44.6%
1998/99	5,917	90%	3,717	250	52.5%
1999/00	5,955	90%	3,408	584	52.4%
2000/01	5,969	91%	3,887	687	59.4%
2001/02	6,199	93%	3,748	932	62.1%
2002/03	6,138	91%	3,828	932	62.6%
2003/04	6,067	91%	4,013	632	59.6%
2004/05	6,046	90%	4,391	553	61.1%
2005/06	6,116	91%	4,218	430	56.8%
2006/07	6,050	90%	4,255	356	56.2%
2007/08	6,087	90%	4,421	130	55.6%
2008/09	6,160	90%	4,319	138	53.5%
2009/10	6,300	92%	4,650	0	55.1%
2010/11	6,257	91%	4,695	71	54.3%
2011/12	6,302	91%	4,700	68	54.2%
2012/13	6,371	91%	4,776	198	56.1%

SCHEDULE 12

TOTAL PROFESSORIAL FACULTY 1978/79 through 2012/13

	PROFESSORS	ASSOCIATE PROFESSORS	ASSISTANT PROFESSORS ¹	TENURE LINE TOTAL	NON-TENURE LINE PROFESSORS	GRAND TOTAL
1978/79	600	211	292	1,103	91	1,194
1979/80	620	210	286	1,116	94	1,210
1980/81	642	205	279	1,126	104	1,230
1981/82	661	200	294	1,155	103	1,258
1982/83	672	195	284	1,151	116	1,267
1983/84	682	195	286	1,163	129	1,292
1984/85	691	194	272	1,157	135	1,292
1985/86	708	191	261	1,160	135	1,295
1986/87	711	192	262	1,165	150	1,315
1987/88	719	193	274	1,186	149	1,335
1988/89	709	200	268	1,177	147	1,324
1989/90	715	198	265	1,178	146	1,324
1990/91	742	195	278	1,215	161	1,376
1991/92 ²	756	205	263	1,224	182	1,406
1992/93	740	209	245	1,194	214	1,408
1993/94	729	203	241	1,173	225	1,398
1994/95	724	198	252	1,174	256	1,430
1995/96	723	205	241	1,169	287	1,456
1996/97	731	205	239	1,175	313	1,488
1997/98	750	213	231	1,194	341	1,535
1998/99	758	217	237	1,212	383	1,595
1999/00	771	204	255	1,230	411	1,641
2000/01	764	198	268	1,230	440	1,670
2001/02	768	204	274	1,246	455	1,701
2002/03	771	202	259	1,232	481	1,713
2003/04	783	196	269	1,248	498	1,746
2004/05	792	193	280	1,265	514	1,779
2005/06	789	210	263	1,262	511	1,773
2006/07	807	210	261	1,278	529	1,807
2007/08	813	217	261	1,291	538	1,829
2008/09	821	224	267	1,312	564	1,876
2009/10	836	233	270	1,339	571	1,910
2010/11	826	237	261	1,324	579	1,903
2011/12	839	246	265	1,350	584	1,934
2012/13	865	252	281	1,398	597	1,995

Source: Provost's Office September 1st figures

¹ Assistant Professors subject to Ph.D. are included.

² Beginning in 1991-92, Medical Center Line and Senior Fellows in policy centers and institutes are included in non-tenure line professors.

**DISTRIBUTION OF TENURED, NON-TENURED, AND NON-TENURE LINE PROFESSORIAL FACULTY¹
2010/11 through 2012/13**

	2010/11				2011/12				2012/13			
	TENURED	NON-TENURED	NON-TENURE LINE	TOTAL	TENURED	NON-TENURED	NON-TENURE LINE	TOTAL	TENURED	NON-TENURED	NON-TENURE LINE	TOTAL
Earth Sciences	34	11	5	50	33	12	6	51	35	11	6	52
Graduate School of Education	38	9	6	53	39	9	7	55	41	9	7	57
Engineering	169	45	23	237	169	43	21	233	172	43	23	238
Humanities and Sciences	401	108	16	525	411	112	17	540	421	125	18	564
<i>Humanities</i>	164	49	9	222	170	45	10	225	177	49	11	237
<i>Natural Sciences & Math</i>	124	26	4	154	128	29	5	162	129	34	4	167
<i>Social Sciences</i>	113	33	3	149	113	38	2	153	115	42	3	160
Law	40	5	6	51	42	5	7	54	41	6	8	55
Other	0	0	13	13	0	0	11	11	0	0	11	11
Subtotal	682	178	69	929	694	181	69	944	710	194	73	977
Business	71	32	1	104	73	33	1	107	74	37	1	112
Medicine	250	75	506	831	261	70	511	842	270	77	520	867
SLAC	32	4	3	39	33	5	3	41	32	4	3	39
Total	1,035	289	579	1,903	1,061	289	584	1,934	1,086	312	597	1,995

Source: Provost's Office September 1st figures

¹ Population includes some appointments made part-time, "subject to Ph.D.," and coterminous with the availability of funds.

SCHEDULE 14

NUMBER OF NON-TEACHING EMPLOYEES¹

As of December 15 Each Year

2004 through 2012

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2011 TO 2012 CHANGE	
										AMOUNT	PERCENT
Academic Units											
Graduate School of Business	309	335	350	384	411	343	338	341	373	32	9.4%
School of Earth Sciences	71	74	82	83	84	85	85	98	101	3	3.1%
Graduate School of Education	85	92	93	97	104	116	120	156	166	10	6.4%
School of Engineering	423	434	439	449	448	425	432	455	479	24	5.3%
School of Humanities & Sciences	632	643	648	678	727	706	705	705	730	25	3.5%
School of Law	120	127	152	165	166	153	154	155	158	3	1.9%
School of Medicine	2,908	2,973	3,020	3,146	3,360	3,419	3,609	3,725	3,902	177	4.8%
Dean of Research	436	464	480	497	531	527	537	569	612	43	7.6%
SLAC	1,496	1,456	1,512	1,604	1,383	1,436	1,539	1,572	1,552	(20)	-1.3%
University Libraries	515	528	541	562	572	537	572	569	582	13	2.3%
Other Academic (Hoover Institution, VPUE & VPGE ²)	242	241	255	277	292	281	270	290	340	50	17.2%
Academic Unit Total	7,237	7,367	7,572	7,942	8,078	8,028	8,361	8,635	8,995	360	4.2%
Administrative Units											
Business Affairs	831	817	835	872	885	872	854	867	912	45	5.2%
Land, Buildings & Real Estate	392	405	422	467	503	452	452	475	513	38	8.0%
Office of Development	170	196	216	242	280	249	251	314	329	15	4.8%
Offices of the President & Provost	160	138	166	188	198	190	191	195	214	19	9.7%
Student Affairs, Admissions & Financial Aid	261	265	291	294	303	286	282	320	331	11	3.4%
Stanford Alumni Association	104	108	114	116	124	111	114	107	114	7	6.5%
Stanford Management Company	62	66	69	58	61	61	64	72	70	(2)	-2.8%
Other Administrative (Public Affairs, General Counsel and Public Safety)	137	130	150	132	130	129	128	125	134	9	7.2%
Administrative Units Total	2,117	2,125	2,263	2,369	2,484	2,350	2,336	2,475	2,617	142	5.7%
Auxiliary Units											
Athletics	130	141	147	151	167	153	158	175	173	(2)	-1.1%
Residential & Dining Enterprises	521	508	531	534	538	524	556	550	589	39	7.1%
Auxiliary Unit Total	651	649	678	685	705	677	714	725	762	37	5.1%
Total	10,005	10,141	10,513	10,996	11,267	11,055	11,411	11,835	12,374	539	4.6%
Annual Percentage Change	4.7%	1.4%	3.7%	4.6%	2.5%	-1.9%	3.2%	3.7%	4.6%		

1. Does not include students, or employees working less than 50% time.

2. VPGE was established in 2006.

FRINGE BENEFITS DETAIL¹

2005/06 through 2011/12

[IN THOUSANDS OF DOLLARS]

	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12
Retirement Programs							
University Retirement	83,084	89,418	92,656	97,748	99,373	104,407	110,754
Social Security	72,420	82,794	87,460	92,586	93,704	97,920	105,094
Faculty Early Retirement	6,108	8,787	8,270	7,501	24,931	1,301	3,322
Stanford Retirement Annuity Plan/Other ²	528	558	418	364	468	332	10,613
Total Retirement Programs	162,140	181,557	188,804	198,199	218,476	203,960	229,783
Insurance Programs							
Medical Insurance	71,774	71,473	85,206	95,611	101,060	110,018	130,424
Retirement Medical	17,321	11,602	16,585	16,583	14,245	22,710	26,284
Worker's Comp/LTD/ Unemployment Ins	6,646	5,743	17,294	20,338	16,969	15,740	19,499
Dental Insurance	9,874	10,674	11,295	12,150	12,592	12,817	13,552
Group Life Insurance/Other	12,374	12,343	13,225	14,761	15,382	15,431	20,829
Total Insurance Programs	117,989	111,835	143,605	159,443	160,248	176,716	210,588
Miscellaneous Programs							
Severance Pay	3,595	3,818	11,839	16,189	2,948	6,096	7,387
Sabbatical Leave	11,943	13,287	14,047	15,689	14,187	14,360	14,810
Other	11,329	11,596	11,697	13,012	12,064	12,489	13,637
Total Miscellaneous Programs	26,867	28,701	37,583	44,890	29,199	32,945	35,834
Total Fringe Benefits Programs	306,996	322,093	369,992	402,532	407,923	413,621	476,205
Carry-forward/Adjustment from Prior Year(s)	15,577	6,300	(6,702)	(10,841)	985	14,096	(4,220)
Total With Carry-forward/Adjustment	322,573	328,393	363,290	391,691	408,908	427,717	471,985
Weighted Average Fringe Benefits Rate	27.2%	25.7%	26.4%	26.8%	27.7%	27.2%	28.2%

Note:

¹ The fringe rate at the bottom of the table is the weighted average of the four distinct fringe rates that are charged to (1) regular benefits-eligible employees, which includes all faculty and staff with continuing appointments of half-time or more; (2) post-doctoral scholars; (3) casual or temporary employees; and (4) graduate teaching and research assistants.

² The Stanford Retirement Annuity Plan had a \$10.5 million reserve contribution in 2011/12 due to underfunded pension obligations.

SCHEDULE 16

SPONSORED RESEARCH EXPENSE BY AGENCY AND FUND SOURCE¹

2005/06 through 2011/12

[IN THOUSANDS OF DOLLARS]

	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12
US Government²							
Health & Human Services	322,937	331,206	324,737	317,534	395,209	446,906	413,713
Department of Defense	60,037	58,600	56,439	58,447	58,153	71,627	84,048
National Science Foundation	58,544	60,874	60,920	59,397	71,645	68,856	67,828
Department of Energy (Excluding SLAC)	25,584	28,102	23,160	16,110	20,458	24,338	21,810
National Aeronautics and Space Administration	61,338	47,704	39,092	24,214	24,988	22,471	20,963
Other US Sponsors	12,596	9,499	5,923	6,922	9,063	7,952	8,551
Department of Education	1,280	1,246	1,359	2,757	2,757	4,921	4,872
Sub-Total for US Government Agencies	542,316	537,232	511,629	485,381	582,274	647,071	622,784
Direct Expense-US	396,225	392,153	373,067	349,089	417,867	463,313	443,430
Indirect Expense-US ³	146,091	145,089	138,562	136,292	164,407	183,758	179,355
Non-US Government							
Subtotal for Non-US Government	108,254	117,437	132,628	167,115	170,536	180,105	186,416
Direct Expense-Non US	89,086	96,799	108,586	136,551	140,618	146,174	150,566
Indirect Expense-Non US	19,168	20,638	24,042	30,564	29,918	33,931	35,849
Grand Totals-US plus Non-US							
Grand Total	650,570	654,669	644,257	652,496	752,810	827,176	809,200
Grand Total Direct	485,311	488,953	481,653	485,640	558,485	609,487	593,996
Grand Total Indirect	165,259	165,727	162,604	166,856	194,325	217,689	215,204
% of Total from US Government	83.4%	82.1%	79.4%	74.4%	77.3%	78.2%	77.0%

¹ Figures are only for sponsored research; sponsored instruction or other non-research sponsored activity is not included. In addition, SLAC expense is not included in this table.

² Agency figures include both direct and indirect expense.

³ Department of Laboratory Animal Medicine indirects are included in this figure.

SPONSORED RESEARCH CONTRACTS AND GRANTS BY SCHOOL¹**2005/06 through 2011/12**

[IN THOUSANDS OF DOLLARS]

School/Unit	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12
Graduate School of Business	538	1,539	774	511	925	1,265	1,273
School of Earth Sciences	12,527	13,997	11,708	9,188	10,035	12,675	14,795
Graduate School of Education	10,324	10,811	6,874	9,332	9,291	15,056	16,974
School of Engineering	112,867	110,132	116,039	122,938	136,999	135,921	144,847
School of Humanities and Sciences	68,833	69,382	71,144	72,075	74,733	77,342	74,436
School of Law	176	88	440	414	491	389	410
School of Medicine	347,292	362,295	358,599	365,911	433,863	498,174	475,100
Vice Provost and Dean of Research	93,269	81,801	73,484	67,168	78,637	82,265	77,391
Other ²	4,743	4,627	5,195	4,958	7,835	4,088	3,974
Total	650,570	654,669	644,257	652,495	752,811	827,176	809,200

Source: Research Financial Compliance & Services; Sponsored Projects Report for the Year Ended August 31, 2012, page 3

¹ Figures are only for sponsored research including both direct and indirect costs; sponsored instruction or other non-research sponsored activity is not included. In addition, SLAC expense is not included in this table.

² Other Units include Hoover Institution, Stanford University Libraries, Undergraduate Admissions and Financial Aid, Vice Provost for Student Affairs, President and Provost's Office, Business Affairs, Public Affairs, and Continuing Studies and Summer Session.

SCHEDULE 18

PLANT EXPENDITURES BY UNIT¹

2004/05 through 2011/12

[IN THOUSANDS OF DOLLARS]

UNIT	2004/05	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12
Graduate School of Business	129	309	2,023	17,902	69,038	116,731	295,433	25,577
Earth Sciences	227	647	458	771	2,197	2,950	5,117	2,118
Graduate School of Education	583	2,626	1,934	2	2,201	2,955	843	
Engineering	2,873	1,838	6,273	28,169	55,430	55,976	19,198	9,968
H & S	16,774	10,763	7,802	8,796	11,255	14,419	7,930	7,136
Law	1,429	992	19,595	64,256	78,973	43,434	50,185	4,168
Medicine	22,631	13,769	31,908	57,759	134,165	104,880	31,731	32,820
Libraries	332	1,131	219	457	3	280		
Athletics	25,691	83,362	28,875	8,753	22,988	10,963	16,639	9,116
Residential & Dining Enterprises	10,308	14,054	17,568	13,101	31,135	21,773	14,288	47,750
All Other ²	61,105	165,127	142,782	220,724	105,925	92,761	46,668	49,130
Total	142,080	294,618	259,436	420,692	513,313	467,123	488,032	187,784

Source: Schedule G-5, Capital Accounting

¹ Expenditures are from either plant or borrowed funds, and are for building construction or improvements, or infrastructure.

² Includes General Plant Improvements expense.

ENDOWMENT MARKET VALUE AND MERGED POOL RATE OF RETURN
1997/98 through 2011/12

YEAR	MARKET VALUE OF THE ENDOWMENT (IN THOUSANDS) ¹	MERGED POOL (FOR 12 MONTHS ENDING JUNE 30)	
		ANNUAL NOMINAL RATE OF RETURN	ANNUAL REAL RATE OF RETURN ²
1997/98	4,774,888	1.3%	0.3%
1998/99	6,226,695	34.8%	33.3%
1999/00	8,885,905	39.8%	37.9%
2000/01	8,249,551	-7.3%	-9.6%
2001/02	7,612,769	-2.6%	-3.7%
2002/03	8,613,805	8.8%	7.2%
2003/04	9,922,041	18.0%	15.4%
2004/05	12,205,035	19.5%	17.0%
2005/06 ³	14,084,676	19.5%	16.2%
2006/07	17,164,836	23.4%	20.7%
2007/08	17,214,373	6.2%	4.0%
2008/09	12,619,094	-25.9%	-27.1%
2009/10	13,851,115	14.4%	13.4%
2010/11	16,502,606	22.4%	20.0%
2011/12	17,035,804	1.0%	-0.7%

Source: Stanford University Annual Financial Report

¹ In addition to market value changes generated by investment returns, annual market value changes are affected by the transfer of payout to support operations, new gifts, and transfers to other assets such as plant funds.

² The real rate of return is the nominal rate less the rate of price increases, as measured by the Gross Domestic Product price deflator.

³ Beginning in 2005/06, living trusts are no longer included in the reported value of the endowment. The effect is to lower the market value for 2005/06 and beyond. For comparison, the restated value for 2005/06 would have been about \$14.7 billion.

SCHEDULE 20

EXPENDABLE FUND BALANCES AT YEAR-END**2003/04 through 2012/13**

[IN MILLIONS OF DOLLARS]

	2003/04	2004/05	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12	PROJECTED 2012/13	PLAN 2013/14	2003/04 TO 2013/14 COMPOUND ANNUAL GROWTH
Academic Units:												
Graduate School of Business	40.2	43.5	62.8	62.9	64.0	67.0	82.2	65.7	79.0	86.8	86.7	8.0%
School of Earth Sciences	26.1	26.0	24.1	24.8	30.5	37.9	42.3	46.8	48.2	47.7	47.8	6.2%
Graduate School of Education	15.7	18.3	18.1	22.7	25.1	32.2	35.5	38.2	38.1	37.1	36.8	8.9%
School of Engineering	130.0	149.0	153.9	162.4	184.6	199.7	202.5	219.6	232.8	243.6	250.5	6.8%
School of Humanities & Sciences	140.3	136.8	142.4	174.0	206.4	245.8	264.3	284.4	285.1	277.1	260.8	6.4%
School of Law	18.3	20.7	21.1	21.4	25.3	19.1	20.1	21.6	21.9	22.0	22.1	1.9%
School of Medicine	350.7	372.6	427.3	459.0	443.7	477.4	523.1	572.8	612.9	653.4	703.5	7.2%
VP for Undergraduate Education	10.6	15.0	19.1	17.2	17.3	19.9	22.0	22.1	22.8	22.3	22.4	7.8%
Dean of Research	72.2	84.3	106.4	93.0	105.1	108.2	111.2	119.2	133.3	131.8	129.6	6.0%
VP for Graduate Education				20.0	28.4	39.1	45.1	46.2	49.8	51.4	47.7	
Hoover Institution	13.5	11.3	16.1	19.1	35.5	35.2	38.7	40.2	38.6	36.4	35.4	10.1%
University Libraries	9.5	4.0	10.0	9.0	10.5	17.5	21.6	18.9	14.6	13.0	11.5	1.9%
Total Academic Units (excluding SLAC)	827.1	881.5	1,001.4	1,085.5	1,176.4	1,299.0	1,408.6	1,495.7	1,577.1	1,622.6	1,654.8	7.2%

ACADEMIC UNIT EXPENDABLE FUND BALANCES

By Level of Control

2009/10 through 2011/12

[IN MILLIONS OF DOLLARS]

	2009/10	2010/11	2011/12	3-YEAR COMPOUND ANNUAL GROWTH RATE
Earth Sciences	42.3	46.8	48.2	6.8%
<i>School</i>	21.4	22.6	23.7	5.1%
<i>Department/Program</i>	15.0	16.7	16.1	3.6%
<i>Faculty/PI</i>	5.9	7.4	8.5	20.2%
Graduate School of Education	35.5	38.5	38.1	3.6%
<i>School</i>	21.7	21.3	19.7	-4.7%
<i>Department/Program</i>	9.9	12.3	13.7	17.6%
<i>Faculty/PI</i>	3.9	4.9	4.6	9.4%
Engineering	202.3	219.5	232.8	7.3%
<i>School</i>	54.5	58.4	62.0	6.6%
<i>Department/Program</i>	71.2	78.6	84.7	9.1%
<i>Faculty/PI</i>	76.5	82.5	86.0	6.0%
Humanities & Sciences	265.5	284.3	285.1	3.6%
<i>School</i>	107.4	120.5	118.0	4.8%
<i>Department/Program</i>	101.8	108.1	107.1	2.6%
<i>Faculty/PI</i>	56.3	55.7	59.9	3.2%
Law	20.1	21.6	21.9	4.4%
<i>School</i>	14.5	16.0	17.1	8.6%
<i>Department/Program</i>	5.6	5.1	4.8	-7.1%
<i>Faculty/PI</i>	0.0	0.4	-	0.0%
Medicine	523.0	572.5	612.9	8.3%
<i>School</i>	179.2	202.3	208.6	7.9%
<i>Department/Program</i>	223.7	244.7	266.4	9.1%
<i>Faculty/PI</i>	120.1	125.5	137.9	7.2%
Dean of Research	110.9	118.6	133.3	9.6%
<i>VP/Dean</i>	16.6	19.3	20.8	11.9%
<i>Lab/Center/Institute</i>	82.6	85.2	99.1	9.5%
<i>Faculty/PI</i>	11.7	14.1	13.4	7.1%
Graduate School of Business¹	82.2	65.7	79.0	-1.9%
Hoover Institution¹	38.7	40.2	38.6	-0.2%
Vice Provost for Graduate Education¹	45.1	46.2	49.8	5.1%
Vice Provost for Undergraduate Education¹	22.0	22.1	22.8	1.9%
University Libraries¹	21.0	18.4	14.6	-16.7%
All Academic Units (excluding SLAC)				
School/Institution/VP	599.9	632.4	657.0	4.6%
Dept/Prog/Lab/Ctr/Institute	533.8	571.0	609.5	6.9%
Faculty/PI	282.5	301.7	322.5	6.8%
Total All Academic Units (excluding SLAC)	1,416.2	1,505.0	1,588.9	5.9%

Source: Fund level of restriction as coded in financial system.

¹ Fund balances in these units are largely under the control of the Dean, Director, or Vice Provost.

SCHEDULE 22

CONSOLIDATED BUDGET FOR OPERATIONS HISTORY

[IN MILLIONS OF DOLLARS]

	2006/07 ACTUALS	2007/08 ACTUALS	2008/09 ACTUALS	2009/10 ACTUALS	2010/11 ACTUALS	2011/12 ACTUALS	2012/13 PROJECTION	2013/14 PLAN	2006/07 TO 2013/14 COMPOUND ANNUAL GROWTH	
									2013/14 PLAN	ANNUAL GROWTH
Revenues										
Undergraduate Programs	225.9	241.3	252.3	274.9	285.6	298.1	309.9	320.7	320.7	5.1%
Graduate Programs	222.9	235.1	249.4	260.3	274.8	287.2	298.3	310.4	310.4	4.8%
Room and Board	99.9	105.0	110.1	122.5	127.8	135.9	143.0	147.6	147.6	5.7%
Student Income	548.7	581.4	611.8	657.7	688.2	721.2	751.2	778.7	778.7	5.1%
Direct Costs - University	548.7	526.8	532.7	602.6	650.3	639.3	655.3	663.8	663.8	2.8%
Indirect Costs	172.2	169.0	174.1	203.8	225.5	226.4	230.1	235.4	235.4	4.6%
University Sponsored Research	720.9	695.8	706.8	806.4	875.8	865.7	885.4	899.2	899.2	3.2%
SLAC	345.7	351.0	293.7	332.8	366.4	368.0	373.4	451.9	451.9	3.9%
Health Care Services	394.5	418.1	484.3	505.7	558.7	600.5	664.7	699.6	699.6	8.5%
Gifts In Support of Operations	197.5	185.0	149.0	155.6	163.7	177.8	180.0	185.3	185.3	-0.9%
Net Assets Released From Restrictions	94.6	92.3	74.1	78.3	106.1	110.0	100.0	105.0	105.0	1.5%
Endowment Income	606.3	899.2	954.4	854.5	783.4	861.7	921.7	982.3	982.3	7.1%
Other Investment Income	120.5	138.8	121.0	49.9	151.7	160.6	124.7	193.6	193.6	7.0%
Investment Income	726.8	1,038.0	1,075.4	904.4	935.1	1,022.3	1,046.4	1,175.9	1,175.9	7.1%
Special Program Fees and Other Income	325.3	353.5	350.1	350.5	381.3	437.0	463.5	483.0	483.0	5.8%
Total Revenues	3,354.0	3,715.1	3,745.2	3,791.4	4,075.3	4,302.5	4,464.6	4,778.6	4,778.6	5.2%
Expenses										
Compensation	1,782.5	1,900.5	2,009.2	2,074.8	2,205.1	2,364.1	2,510.8	2,655.3	2,655.3	5.9%
Financial Aid	156.0	173.7	210.3	220.7	230.4	240.6	246.1	254.2	254.2	7.2%
Internal Debt Service	53.0	62.4	141.0	144.0	159.2	141.8	164.7	171.4	171.4	18.3%
Other Operating Expense	1,107.7	1,192.6	1,005.2	1,057.4	1,139.6	1,204.6	1,282.9	1,384.4	1,384.4	3.2%
Total Expenses	3,099.2	3,329.2	3,365.7	3,496.9	3,734.3	3,951.2	4,204.5	4,465.3	4,465.3	5.4%
Operating Results	254.8	385.9	379.5	294.5	341.0	351.3	260.1	313.3	313.3	3.0%
Transfers										
Transfers from (to) Endowment Principal	(88.4)	(40.8)	(78.0)	(40.1)	(150.4)	(88.6)	(56.1)	(29.3)	(29.3)	
Transfers from (to) Plant	(82.1)	(256.9)	(141.3)	(121.1)	(44.8)	(172.1)	(216.3)	(154.3)	(154.3)	
Other Internal Transfers	19.9	33.3	10.0	30.6	37.2	10.7	36.1	43.6	43.6	
Total Transfers	(150.6)	(264.4)	(209.3)	(130.6)	(158.0)	(249.9)	(236.3)	(140.0)	(140.0)	
Change in Fund Balances	104.2	121.5	170.2	163.9	183.0	101.4	23.8	173.3	173.3	
Beginning Fund Balance	1,554.7	1,658.9	1,780.4	1,950.6	2,114.5	2,297.5	2,398.9	2,422.7	2,422.7	6.5%
Ending Fund Balance	1,658.9	1,780.4	1,950.6	2,114.5	2,297.5	2,398.9	2,422.7	2,596.0	2,596.0	6.5%

