

## APPENDIX B

### SUPPLEMENTARY INFORMATION

The tables and graphs in this Appendix provide historical and statistical data on enrollment, tuition and room and board rates, financial aid, faculty, staff, selected expenditures, and the endowment. The short summaries below serve as an introduction to the schedules and point out interesting trends or historical occurrences.

#### SCHEDULE 1 – STUDENT ENROLLMENT

Undergraduate enrollment continues to increase slowly, and 2008/09 produced the largest undergraduate student body ever. The number of TGRs (Terminal Graduate Registration) increased markedly in the late 1990s, primarily because changes in Federal policy requiring payment of the tuition of Research Assistants directly from research contracts and grants provided a strong incentive for eligible graduate students to register as TGRs. This increase appears to be slowing, as there was an increase of only 4 TGRs in 2008/09. Graduate student enrollment continues to increase (by 138 students in 2008/09) leading to the largest graduate student body ever.

#### SCHEDULE 2 – FRESHMAN STUDENT APPLY/ADMIT/MATRICULATE STATISTICS

The number of applicants for the present freshman class increased again to 25,299, the largest pool in Stanford's history. Only 9.5% of applicants were accepted, as Stanford has become increasingly selective over the past ten years. Stanford's yield rate, at 71%, is very strong and is among the highest in the country.

#### SCHEDULE 3 – GRADUATE STUDENT APPLY/ADMIT/ENROLL STATISTICS

The number of applicants to Stanford's graduate and professional programs rose 2.8%, from 33,623 in 2007/08 to 34,566 in 2008/09. The admit rate for Stanford's graduate programs continues to decline steadily, and only 12.6% of all applicants were admitted in 2008/09. The yield for graduate admits was 54.7% and has averaged just under 55% the past five years.

#### SCHEDULE 4 – POST-DOCTORAL SCHOLARS

The post-doctoral scholar population, which includes medical fellows in the School of Medicine, increased by 38% over the past ten years. After a 17% increase from 1999/2000 to 2000/01, the annual rate of growth has never exceeded 6%. The School of Medicine has by far the largest share, with typically about 70% of the university's post-doctoral scholars.

#### SCHEDULE 5 – GRADUATE STUDENT SUPPORT

Stanford supports its graduate students and postdoctoral fellows with a variety of fund sources. Teaching Assistants and Research Assistants earn salaries as part of their appointment and most also receive an allowance applied against their tuition charges as part of their compensation. Graduate Fellows receive grants that cover some or all of their tuition charges, and many receive stipends that help cover living expenses. Postdoctoral students, over two-thirds of whom reside in the School of Medicine, also receive salaries as part of their appointment. Many also receive living expense stipends. Grants and contracts cover much of the research assistant expenses, while university and school unrestricted (or general use) funds and expendable and endowment funds restricted specifically to graduate student aid cover the remaining expenses.

#### SCHEDULE 6 – TUITION AND ROOM & BOARD RATES

The 2009/10 total cost of Undergraduate Tuition plus Room & Board is projected to increase by 3.5% over the previous year. In real terms, the average annual increase over the past decade has been 2.5%. These results are due to the university committing (in the early 1990s) to restraining tuition growth, which continues today, despite increased budget pressure.

#### SCHEDULE 7 – UNDERGRADUATE FINANCIAL AID BY SOURCE OF FUNDS AND TYPE OF AID

This schedule shows the total amount of financial aid from all sources (including non-need based scholarship

aid for athletics) awarded to undergraduate students. The last row shows Stanford tuition plus room and board. Total scholarships and grants increased by almost 9% in 2007/08, due mainly to a 22% increase in gift and endowment income. In 2007/08, no unrestricted funds were used for scholarships and grants, completing a multi-year decreasing trend. Loan amounts have decreased for the last four years, while the work component, by far the smallest component of financial aid, also decreased.

### **SCHEDULE 8 – NEEDS AND SOURCES, INCLUDING PARENTAL AND STUDENT CONTRIBUTIONS**

This schedule shows the total needs and sources of support for undergraduate students who receive need-based financial aid. The total needs are driven by the growth in the student budget and by the number of students on aid. The total student budget will increase 3.5% in 2009/10, but total needs will increase by 8.3% due to 105 more students expected to receive need-based aid. Significant enhancements in the financial aid program, aimed at helping middle-income families, will result in more students qualifying for aid and a considerable drop in average family contribution. This is coupled with an expected drop in endowment income and expendable gifts. The extra costs will primarily be met with an increase in the allocation of President's funds to the financial aid program.

### **SCHEDULE 9 – STUDENTS HOUSED ON CAMPUS**

The percent of undergraduates housed on-campus has been about 90% for most of the past decade, several percentage points higher than the level during the mid-1990s due to a tighter and more expensive local rental market. The percent of graduate students housed by Stanford grew rapidly from 1997/98 through 2002/03, coincident with the availability of subsidized off-campus housing. Stanford has, over the past several years, begun to eliminate the off-campus subsidized housing program and replace it with more on-campus housing. Thus, the percentage of graduate students housed on campus has declined, when the subsidized housing is included in the calculation.

### **SCHEDULE 10 – TOTAL PROFESSORIAL FACULTY**

The total professoriate has increased by 47 (less than 2.6%) since last year to a total of 1,876. The number of tenure-line faculty has increased by 47 in the last five years (almost 4%), while the non-tenure line faculty

(consisting mostly of Medical Center Line faculty) has increased by 50 (almost 10%) over the same period.

### **SCHEDULE 11 – DISTRIBUTION OF TENURED, NON-TENURED, AND NON-TENURE LINE PROFESSORIAL FACULTY**

This schedule provides a disaggregated view of the data in Schedule 10 over the last three years. Schedule 11 shows that the total number of tenured faculty in the schools has increased by 33 in the past three years, and the number of non-tenured faculty has barely changed (increased by 1). The number of non-tenure line faculty has increased by 35.

### **SCHEDULE 12 – NUMBER OF NON-TEACHING EMPLOYEES**

This schedule shows the number of regular (defined in the first footnote in the Schedule) non-teaching employees by activity. To maintain consistency in this data over time despite reorganizations, the activity categories have been defined broadly, and the table contains footnotes explaining various shifts across the categories or other changes over the period. The number of employees increased by 2.5% in 2008. The new employees are fairly evenly distributed throughout the university. SLAC lost 221 employees, mainly due to layoffs announced in January of 2008.

### **SCHEDULE 13 – STAFF EMPLOYEES OUTSIDE MEDICINE AND SLAC**

This graph shows the relative numbers and growth of staff employees who work in primarily academic versus administrative areas. Over the period shown, the number of academic and administrative staff grew an average of 4.1%. The number of employees in administrative areas increased by 3.7% in 2008. Employment in the schools and independent labs has increased steadily each year.

### **SCHEDULE 14 – STAFF BENEFITS DETAIL**

The fringe benefits rates provide a mechanism to support the various components of non-salary compensation provided to employees. Stanford has four distinct fringe benefits rates for (1) regular benefits-eligible employees, which includes most faculty and staff, (2) postdoctoral research affiliates, (3) casual/temporary employees, and (4) graduate research and teaching assistants. Schedule 14 shows the programs and costs that contribute to the weighted average of the four individual

benefits rates. Retirement programs and health insurance costs are the primary drivers of the benefits rates. Medical insurance costs have increased dramatically in the past few years, and are expected to increase by about 11.0% in 2009/10. Retirement medical costs are also expected to increase, by 21%. These cost increases are slightly mitigated by decreases in Worker's Comp/LTD/Unemployment Insurance (budgeted to decrease by 10%) and severance pay (budgeted to decrease by 18%). Overall, total staff benefits program costs are expected to increase by 3.4%.

#### **SCHEDULE 15 – SPONSORED RESEARCH EXPENSE BY AGENCY AND FUND SOURCE**

In 2007/08 direct expense from research sponsored by the federal government decreased for the third consecutive year, by \$19.1 million (almost 5%). Meanwhile, direct expense from research sponsored by non-federal sources increased 12.2% in 2007/08 over the previous year. Non-federal sponsored research typically makes up between 13%-20% of total sponsored research expense. This schedule does not include SLAC.

#### **SCHEDULE 16 – PLANT EXPENDITURES**

This schedule shows expenses from plant or borrowed funds for building or infrastructure projects related to various units. General Plant Improvement expenses are included in the "All Other" category. To the extent possible, expenditures for equipment are excluded from these calculations. Plant expenditures increased

by \$161 million in 2007/08, due in large part to the construction of the new Graduate School of Business campus, the Law School's Munger Residence halls, the School of Medicine's Li Ka Shing Center for Learning and Knowledge, and the Science and Engineering Quad buildings. The details behind these plant expenditures can be found in "Section 4, Capital Budget three-year Capital Plan".

#### **SCHEDULE 17 – ENDOWMENT VALUE AND MERGED POOL RATE OF RETURN**

The annual nominal rate of return for the Merged Pool in 2007/08 was 6.2%. The nominal return on invested funds has been positive for all years in the table except for 2000/01 and 2001/02. The target payout rate is 5.5%.

#### **SCHEDULE 18 – EXPENDABLE FUND BALANCES AT YEAR-END**

This schedule shows total fund balances (excluding sponsored research) by academic unit over the past decade. The large increase in Dean of Research a few years ago is due to Google funds, which leads with 23.5% average annual percent change, with the next largest percentage change in School of Education at 11.9%. Outside of the Dean of Research, the School of Medicine shows the largest dollar growth over the decade, with Ending Fund balance expected to grow \$145 million between 2000/01 and 2009/10.

**SCHEDULE 1****STUDENT ENROLLMENT FOR AUTUMN QUARTER  
1999/00 THROUGH 2008/09**

Year	Undergraduate			Graduate			TGR <sup>1</sup>	Total
	Women	Men	Total	Women	Men	Total		
1999/00	3,238	3,356	6,594	2,332	4,370	6,702	923	14,219
2000/01	3,243	3,305	6,548	2,405	4,348	6,753	947	14,248
2001/02	3,255	3,382	6,637	2,329	4,188	6,517	1,020	14,174
2002/03	3,301	3,430	6,731	2,305	4,109	6,414	1,194	14,339
2003/04	3,245	3,409	6,654	2,282	4,220	6,502	1,298	14,454
2004/05	3,250	3,503	6,753	2,363	4,408	6,771	1,321	14,845
2005/06	3,204	3,501	6,705	2,384	4,424	6,808	1,368	14,881
2006/07	3,240	3,449	6,689	2,389	4,492	6,881	1,320	14,890
2007/08	3,313	3,446	6,759	2,382	4,439	6,821	1,365	14,945
2008/09	3,384	3,428	6,812	2,450	4,509	6,959	1,369	15,140

Source: Registrar's Office third week enrollment figures

<sup>1</sup> Terminal Graduate Registration (TGR) allows students to register at a reduced tuition rate while they work on a dissertation, thesis, or department project.

## SCHEDULE 2

## FRESHMAN APPLY/ADMIT/ENROLL STATISTICS

## FALL 1999 THROUGH FALL 2008

Year	Total Applications		Admissions		Enrollment	
	Number	Percent Change from Previous Year	Number	Percent of Applicants Admitted	Number	Percent of Admitted Applicants Enrolling
Fall 1999	17,919	(5.1%)	2,689	15.0%	1,749	65.0%
Fall 2000	18,363	2.5%	2,425	13.2%	1,599	65.9%
Fall 2001	19,052	3.8%	2,406	12.6%	1,615	67.1%
Fall 2002	18,599	(2.4%)	2,368	12.7%	1,639	69.2%
Fall 2003	18,628	0.2%	2,343	12.6%	1,640	70.0%
Fall 2004	19,172	2.9%	2,486	13.0%	1,648	66.3%
Fall 2005	20,195	5.3%	2,426	12.0%	1,633	67.3%
Fall 2006	22,333	10.6%	2,444	10.9%	1,648	67.4%
Fall 2007	23,958	7.3%	2,464	10.3%	1,723	69.9%
Fall 2008	25,299	5.6%	2,400	9.5%	1,703	71.0%

**SCHEDULE 3****NEW GRADUATE STUDENT APPLY/ADMIT/ENROLL STATISTICS  
FALL 1999 THROUGH FALL 2008**

Year	Total Applications		Admissions		Enrollment	
	Number	Percent Change from Previous Year	Number	Percent of Applicants Admitted	Number	Percent of Admitted Applicants Enrolling
Fall 1999	28,295	(2.0%)	4,525	16.0%	2,387	52.8%
Fall 2000	27,095	(4.2%)	4,422	16.3%	2,288	51.7%
Fall 2001	27,201	0.4%	4,271	15.7%	2,175	50.9%
Fall 2002	30,500	12.1%	4,202	13.8%	2,185	52.0%
Fall 2003	32,503	6.6%	4,443	13.7%	2,300	51.8%
Fall 2004	30,630	(5.8%)	4,361	14.2%	2,378	54.5%
Fall 2005	30,381	(0.8%)	4,356	14.3%	2,405	55.2%
Fall 2006	31,583	4.0%	4,323	13.7%	2,337	54.1%
Fall 2007	33,623	6.5%	4,352	12.9%	2,400	55.1%
Fall 2008	34,566	2.8%	4,350	12.6%	2,379	54.7%

## SCHEDULE 4

**POST-DOCTORAL SCHOLARS BY SCHOOL<sup>1</sup>**  
**1999/00 THROUGH 2008/09**

<b>School</b>	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08	2008/09 <sup>2</sup>
GSB	1	0	0	0	0	0	0	0	0	0
Earth Sciences	14	14	15	21	24	27	22	30	32	26
Education	3	5	6	9	8	4	5	10	10	10
Engineering	74	61	93	101	107	129	127	117	144	158
H & S	162	179	241	269	277	297	268	263	283	284
Law	0	0	0	0	1	1	1	0	0	1
Medicine	840	1,022	993	1,010	995	1,006	968	1,042	1,037	1,033
<b>TOTAL</b>	<b>1,094</b>	<b>1,281</b>	<b>1,348</b>	<b>1,410</b>	<b>1,412</b>	<b>1,464</b>	<b>1,391</b>	<b>1,462</b>	<b>1,506</b>	<b>1,512</b>

Data Source: Registrar's Office third week enrollment figures

<sup>1</sup> The post-doctoral scholar population includes medical fellows in the School of Medicine.

<sup>2</sup> Approximately 40% of postdocs are female.

**SCHEDULE 5**

**GRADUATE STUDENT AND POSTDOC SUPPORT**

[IN MILLIONS OF DOLLARS]

	2006/07				2007/08				2006/07 to 2007/08			
	General/School Fungible Funds <sup>1</sup>	Designated Funds	Restricted Student Aid Funds	Grants & Contracts	Total	General/School Fungible Funds <sup>1</sup>	Designated Funds	Restricted Student Aid Funds	Grants & Contracts	Total	Change Amount	Percent
<b>Graduate Student Support</b>												
<b>Salaries</b>												
Teaching Assistants	14.6	0.2	1.1	0.1	16.0	16.3	0.2	0.6	0.1	17.2	1.2	7.6%
Research Assistants	4.6	6.1	8.8	32.2	51.6	2.8	4.9	11.3	29.7	48.7	(3.0)	(5.8)%
Other Salaries	0.2	0.8	0.2	0.4	1.6	0.2	0.7	0.2	0.1	1.2	(0.3)	(21.7)%
Benefits	0.4	0.0	0.7	1.2	2.3	0.6	0.1	0.6	1.2	2.5	0.2	7.5%
<b>Total Salaries &amp; Benefits</b>	<b>19.8</b>	<b>7.1</b>	<b>10.7</b>	<b>33.9</b>	<b>71.5</b>	<b>19.8</b>	<b>5.9</b>	<b>12.7</b>	<b>31.2</b>	<b>69.6</b>	<b>(1.9)</b>	<b>(2.7)%</b>
Tuition Allowance	29.9	4.1	2.9	16.5	53.3	35.1	2.5	1.1	14.9	53.6	0.4	0.7%
Fellowship Tuition	10.4	2.3	40.8	10.2	63.7	20.4	2.6	41.1	9.8	73.9	10.2	16.0%
Stipends	7.0	1.7	19.0	14.9	42.6	4.1	1.5	26.5	15.7	47.8	5.2	12.1%
Fees	1.1	1.9	3.0	1.1	7.2	2.0	2.0	2.5	1.3	7.8	0.6	8.6%
<b>Total Graduate Student Support</b>	<b>68.1</b>	<b>17.1</b>	<b>76.5</b>	<b>76.6</b>	<b>238.3</b>	<b>81.4</b>	<b>14.5</b>	<b>84.0</b>	<b>72.8</b>	<b>252.7</b>	<b>14.4</b>	<b>6.0%</b>
<b>Percent of Total</b>	<b>28.6%</b>	<b>7.2%</b>	<b>32.1%</b>	<b>32.2%</b>	<b>100.0%</b>	<b>32.2%</b>	<b>5.7%</b>	<b>33.2%</b>	<b>28.8%</b>	<b>100.0%</b>		
<b>Postdocs</b>												
Salaries	0.3	6.0	5.6	28.9	40.7	4.5	4.5	2.7	30.8	42.5	1.7	4.2%
Benefits	0.1	1.1	1.1	5.6	7.9	0.9	1.1	0.6	6.2	8.7	0.8	10.7%
Tuition	0.2	0.1	0.4	0.0	0.6	0.2	0.1	0.1	(0.0)	0.4	(0.2)	(35.3)%
Stipends	0.1	1.5	4.7	12.2	18.4	2.8	1.2	2.6	12.5	19.1	0.7	3.7%
<b>Total Postdoc Support</b>	<b>0.5</b>	<b>8.7</b>	<b>11.7</b>	<b>46.6</b>	<b>67.6</b>	<b>8.5</b>	<b>6.9</b>	<b>5.9</b>	<b>49.4</b>	<b>70.7</b>	<b>3.0</b>	<b>4.5%</b>
<b>Percent of Total</b>	<b>0.8%</b>	<b>12.9%</b>	<b>17.4%</b>	<b>68.9%</b>	<b>100.0%</b>	<b>12.0%</b>	<b>9.7%</b>	<b>8.4%</b>	<b>69.9%</b>	<b>100.0%</b>		

<sup>1</sup> General/School fungible funds are General Funds and some Gift Funds and Endowed Funds that can be used for any purpose within a school.



## SCHEDULE 6

**UNDERGRADUATE TUITION AND ROOM & BOARD RATES**  
**1980/81 THROUGH 2009/10**

Year	Undergraduate Tuition	Percent Change from Previous Year	Room & Board	Percent Change from Previous Year	Total Cost	Percent Change from Previous Year
1980/81	6,285	12.3%	2,636	12.0%	8,921	12.2%
1981/82	7,140	13.6%	2,965	12.5%	10,105	13.3%
1982/83	8,220	15.1%	3,423	15.4%	11,643	15.2%
1983/84	9,027	9.8%	3,812	11.4%	12,839	10.3%
1984/85	9,705	7.5%	4,146	8.8%	13,851	7.9%
1985/86	10,476	7.9%	4,417	6.5%	14,893	7.5%
1986/87	11,208	7.0%	4,700	6.4%	15,908	6.8%
1987/88	11,880	6.0%	4,955	5.4%	16,835	5.8%
1988/89	12,564	5.8%	5,257	6.1%	17,821	5.9%
1989/90	13,569	8.0%	5,595	6.4%	19,164	7.5%
1990/91	14,280	5.2%	5,930	6.0%	20,210	5.5%
1991/92	15,102	5.8%	6,160	3.9%	21,262	5.2%
1992/93	16,536	9.5%	6,314	2.5%	22,850	7.5%
1993/94	17,775	7.5%	6,535	3.5%	24,310	6.4%
1994/95	18,669	5.0%	6,796	4.0%	25,465	4.8%
1995/96	19,695	5.5%	7,054	3.8%	26,749	5.0%
1996/97	20,490	4.0%	7,337	4.0%	27,827	4.0%
1997/98	21,300	4.0%	7,557	3.0%	28,857	3.7%
1998/99	22,110	3.8%	7,768	2.8%	29,878	3.5%
1999/00	23,058	4.3%	7,881	1.5%	30,939	3.6%
2000/01	24,441	6.0%	8,030	1.9%	32,471	5.0%
2001/02	25,917	6.0%	8,304	3.4%	34,221	5.4%
2002/03	27,204	5.0%	8,680	4.5%	35,884	4.9%
2003/04	28,563	5.0%	9,073	4.5%	37,636	4.9%
2004/05	29,847	4.5%	9,500	4.7%	39,347	4.5%
2005/06	31,200	4.5%	9,932	4.5%	41,132	4.5%
2006/07	32,994	5.8%	10,367	4.4%	43,361	5.4%
2007/08	34,800	5.5%	10,808	4.3%	45,608	5.2%
2008/09	36,030	3.5%	11,182	3.5%	47,212	3.5%
2009/10	37,380	3.7%	11,463	2.5%	48,843	3.5%

Average Annual Tuition Increase, 1980/81-2008/09: 6.7%

Average Annual Tuition Increase, 1999/00-2008/09 (10 years): 5.0%

Average Annual Tuition Real Increase<sup>1</sup>, 1980/81-2008/09: 3.2%

Average Annual Tuition Real Increase<sup>1</sup>, 1999/00-2008/09 (10 years): 2.5%

Average Annual CPI Increase, 1980/81-2008/09: 3.3%

Average Annual CPI Increase, 1999/00-2008/09 (10 years): 2.5%

<sup>1</sup> Real growth calculated using tuition adjusted to 2009 dollars using US Annual CPI-U (Consumer Price Index) values.

## SCHEDULE 7

UNDERGRADUATE FINANCIAL AID BY SOURCE OF FUNDS AND TYPE OF AID<sup>1</sup>

1998/99 THROUGH 2007/08

[IN THOUSANDS OF DOLLARS]

	1998/99	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08
<b>Scholarships and Grants</b>										
Stanford Unrestricted Funds	13,420	8,954	4,568	10,349	13,561	13,848	14,281	12,672	4,982	
Gifts and Endowment Income: Non-Athletic <sup>2</sup>	23,235	26,871	35,660	35,711	38,317	41,357	43,749	47,983	61,026	74,487
Athletic Awards	8,614	8,874	9,842	10,627	11,331	11,809	12,687	13,393	14,999	15,227
Departmental Awards	2,016	2,238	3,263	3,766	3,853	4,712	4,783	4,937	5,823	6,344
Trademark Income							158	108	240	357
External Grants <sup>3</sup>	15,343	16,713	16,383	17,824	20,431	21,361	21,367	18,361	19,102	19,215
Subtotal for Scholarships and Grants	62,629	63,649	69,717	78,278	87,493	93,087	97,025	97,453	106,174	115,630
<b>Loans</b>										
University Funds	600	666	612	9		22				
External Funds	12,354	11,279	9,987	11,159	11,690	12,544	12,271	11,549	10,761	9,589
Subtotal for Loans	12,953	11,946	10,599	11,168	11,690	12,567	12,271	11,549	10,761	9,589
<b>Jobs</b>										
University Funds <sup>4</sup>	2,387	2,252	1,120	1,408	1,458	1,839	1,236	1,368	1,503	1,458
External Funds	859	476	736	686	871	1,724	2,014	2,417	2,172	1,875
Subtotal for Jobs	3,246	2,728	1,857	2,094	2,329	3,563	3,250	3,785	3,675	3,333
<b>Grand Total</b>	<b>78,828</b>	<b>78,323</b>	<b>82,173</b>	<b>91,540</b>	<b>101,511</b>	<b>109,216</b>	<b>112,546</b>	<b>112,787</b>	<b>120,610</b>	<b>128,551</b>
<b>Stanford Tuition plus Room and Board</b>	<b>29,878</b>	<b>30,939</b>	<b>32,471</b>	<b>34,221</b>	<b>35,884</b>	<b>37,636</b>	<b>39,347</b>	<b>41,132</b>	<b>43,361</b>	<b>45,608</b>

<sup>1</sup> Figures are actual expenses and are in thousands of dollars. The data includes all funds awarded to undergraduate students administered through the Financial Aid Office, including aid that is not need-based.

<sup>2</sup> Includes support from the Stanford Fund.

<sup>3</sup> All grants from Federal, state, or private sources.

<sup>4</sup> Includes university match of funds from outside sources.

## SCHEDULE 8

**UNDERGRADUATE FINANCIAL AID**  
**PROJECTED 2009/10 NEEDS AND SOURCES,**  
**INCLUDING PARENTAL AND STUDENT CONTRIBUTIONS<sup>1</sup>**  
 [IN THOUSANDS OF DOLLARS]

	2007/08	2008/09	2009/10	2008/09 to 2009/10 Change	
	Actuals	Projected	Budget	Amount	Percentage
<b>Needs</b>					
Tuition, Room & Board	125,807	145,084	154,926	9,842	6.8%
Books and Personal Expenses	10,172	12,709	15,887	3,178	25.0%
Travel	1,947	2,233	2,427	194	8.7%
<b>Total Needs</b>	<b>137,926</b>	<b>160,026</b>	<b>173,240</b>	<b>13,214</b>	<b>8.3%</b>
<b>Sources</b>					
Total Family Contribution (includes parent contribution for aided students, self-help, summer savings, assets, etc.)	50,294	45,473	48,868	3,395	7.5%
Endowment Income <sup>2</sup>	67,866	73,925	68,225	(5,700)	(7.7%)
Expendable Gifts	1,026	1,310	650	(660)	(50.4%)
Stanford Fund/President's Funds	5,305	26,369	42,012	15,643	59.3%
Federal Grants	4,515	4,789	4,950	161	3.4%
California State Scholarships	3,827	2,958	3,057	99	3.4%
Outside Awards	4,096	4,457	4,676	219	4.9%
Department Sources	997	745	802	57	7.7%
Unrestricted Funds	0	0	0		
<b>Total Sources</b>	<b>137,926</b>	<b>160,026</b>	<b>173,240</b>	<b>13,214</b>	<b>8.3%</b>
<b>Number of Students on Need-Based Aid</b>	<b>2,811</b>	<b>3,130</b>	<b>3,235</b>	<b>105</b>	<b>3.4%</b>

<sup>1</sup> In this table, sources of aid other than the family contribution include only aid awarded to students who are receiving scholarship aid from Stanford. Thus, the sum of the amounts for scholarships and grants will not equal the figures in Schedule 7.

<sup>2</sup> Endowment income includes reserve funds and specifically invested funds.

**SCHEDULE 9****STUDENTS HOUSED ON CAMPUS****1993/94 THROUGH 2008/09**

Year	Undergraduates Housed On-Campus	Percent of Undergraduates Housed On-Campus	Graduate Students Housed On-Campus	Graduate Students Housed in Off-Campus Subsidized Apartments	Percent of Graduate Students Housed by Stanford
1993/94	5,799	88%	3,069		41.3%
1994/95	5,734	87%	3,132		41.9%
1995/96	5,819	88%	3,090		41.4%
1996/97	5,749	88%	2,980		41.0%
1997/98	5,864	88%	3,320		44.6%
1998/99	5,917	90%	3,717	250	52.5%
1999/00	5,955	90%	3,408	584	52.4%
2000/01	5,969	91%	3,887	687	59.4%
2001/02	6,199	93%	3,748	932	62.1%
2002/03	6,138	91%	3,828	932	62.6%
2003/04	6,067	91%	4,013	632	59.6%
2004/05	6,046	90%	4,391	553	61.1%
2005/06	6,116	91%	4,218	430	56.8%
2006/07	6,050	90%	4,255	356	56.2%
2007/08	6,087	90%	4,421	130	55.6%
2008/09	6,160	90%	4,319	138	53.5%

## SCHEDULE 10

**TOTAL PROFESSORIAL FACULTY<sup>1</sup>****1977/78 THROUGH 2008/09**

	Professors	Associate Professors	Assistant Professors <sup>2</sup>	Tenure Line Total	Non-Tenure Line Professors	Grand Total
1977/78	586	199	287	1,072	86	1,158
1978/79	600	211	292	1,103	91	1,194
1979/80	620	210	286	1,116	94	1,210
1980/81	642	205	279	1,126	104	1,230
1981/82	661	200	294	1,155	103	1,258
1982/83	672	195	284	1,151	116	1,267
1983/84	682	195	286	1,163	129	1,292
1984/85	691	194	272	1,157	135	1,292
1985/86	708	191	261	1,160	135	1,295
1986/87	711	192	262	1,165	150	1,315
1987/88	719	193	274	1,186	149	1,335
1988/89	709	200	268	1,177	147	1,324
1989/90	715	198	265	1,178	146	1,324
1990/91	742	195	278	1,215	161	1,376
1991/92	756	205	263	1,224	182	1,406 <sup>3</sup>
1992/93	740	209	245	1,194	214	1,408
1993/94	729	203	241	1,173	225	1,398
1994/95	724	198	252	1,174	256	1,430
1995/96	723	205	241	1,169	287	1,456
1996/97	731	205	239	1,175	313	1,488
1997/98	750	213	231	1,194	341	1,535
1998/99	758	217	237	1,212	383	1,595
1999/00	771	204	255	1,230	411	1,641
2000/01	764	198	268	1,230	440	1,670
2001/02	768	204	274	1,246	455	1,701
2002/03	771	202	259	1,232	481	1,713
2003/04	783	196	269	1,248	498	1,746
2004/05	792	193	280	1,265	514	1,779
2005/06	789	210	263	1,262	511	1,773
2006/07	807	210	261	1,278	529	1,807
2007/08	813	217	261	1,291	538	1,829
2008/09	821	224	267	1,312	564	1,876

DATA SOURCE: PROVOST'S OFFICE

<sup>1</sup> Some appointments are coterminous with the availability of funds.<sup>2</sup> Assistant Professors subject to Ph.D. are included.<sup>3</sup> Beginning in 1991/92, Medical Center Line and Senior Fellows in policy centers and institutes are included.

## SCHEDULE 11

**DISTRIBUTION OF TENURED, NON-TENURED, AND NON-TENURE LINE PROFESSORIAL FACULTY<sup>1</sup>**  
**2006/07 THROUGH 2008/09**

School Unit or Program	2006/07				2007/08				2008/09			
	Tenured	Non- Tenured	Non- Tenure Line	Total	Tenured	Non- Tenured	Non- Tenure Line	Total	Tenured	Non- Tenured	Non- Tenure Line	Total
Earth Sciences	33	9	3	45	33	8	4	45	32	9	6	47
Education	34	8	4	46	36	6	4	46	35	10	3	48
Engineering	158	54	19	231	162	57	20	239	166	51	22	239
Humanities and Sciences	380	124	20	524	380	124	18	522	388	119	19	526
(Humanities)	(160)	(47)	(9)	(216)	(158)	(51)	(9)	(218)	(159)	(51)	(10)	(220)
(Natural Sciences & Math)	(122)	(28)	(6)	(156)	(122)	(28)	(5)	(155)	(125)	(24)	(5)	(154)
(Social Sciences)	(98)	(49)	(5)	(152)	(100)	(45)	(4)	(149)	(104)	(44)	(4)	(152)
Law	37	6	5	48	37	5	5	47	39	5	5	49
Other	7	1	12	20	9	1	14	24	0	0	16	16
Subtotal	649	202	63	914	657	201	65	923	660	194	71	925
Business	67	28	1	96	64	27	2	93	69	34	1	104
Medicine	244	60	462	766	251	62	468	781	256	62	487	805
SLAC	25	3	3	31	25	4	3	32	33	4	5	42
Total	985	293	529	1,807	997	294	538	1,829	1,018	294	564	1,876

<sup>1</sup> Population includes some appointments made part-time, "subject to Ph.D.," and coterminous with the availability of funds.

## SCHEDULE 12

**NUMBER OF NON-TEACHING EMPLOYEES  
AS OF DECEMBER 15 EACH YEAR<sup>1</sup>  
1999 THROUGH 2008**

Activity	1999 <sup>2</sup>	2000	2001	2002	2003	2004	2005	2006	2007	2008
School of Medicine <sup>2</sup>	2,194	2,260	2,421	2,471	2,819	2,910	2,973	3,020	3,146	3,360
Other Schools: Business, Earth Sciences, Education, Engineering, Humanities and Sciences, Law	1,350	1,375	1,493	1,506	1,576	1,641	1,705	1,764	1,841	1,940
Dept of Athletics, Physical Education and Recreation	117	131	128	123	127	130	141	147	151	167
Dean of Research	373	375	391	427	448	437	464	480	497	531
Stanford Linear Accelerator Center	1,287	1,286	1,385	1,415	1,432	1,496	1,456	1,512	1,604	1,383
Student Services: Student Affairs, Admissions & Financial Aid	249	237	257	248	266	261	265	291	294	303
Libraries <sup>3</sup>	372	377	456	466	515	515	528	541	562	572
Administrative Systems/Information Technology	409	436	518	498	457	430	394	400	432	428
Office of Development	136	147	156	153	155	170	196	216	242	280
University Lands and Buildings <sup>4</sup>	350	340	376	375	389	392	405	422	467	503
Residential & Dining Enterprises	331	338	373	404	488	521	508	531	534	538
Stanford Alumni Association <sup>5</sup>	76	88	108	113	98	104	108	114	116	124
Stanford Management Company	53	54	63	69	62	62	66	69	58	61
Other Academic Hoover <sup>3</sup> , Learning Technology and Extended Education (through 2001/02), VPUE (1998/99-present) VPGE (starting in 2006)	230	242	219	205	160	248	175	255	277	292
Administration <sup>2</sup> Business Affairs, President's Office, Provost's Office, General Counsel, Press (until 2003/04), VP for Public Affairs (2003/04-present)	685	699	716	698	642	698	757	751	775	785
<b>TOTAL</b>	<b>8,212</b>	<b>8,385</b>	<b>9,060</b>	<b>9,171</b>	<b>9,634</b>	<b>10,015</b>	<b>10,141</b>	<b>10,513</b>	<b>10,996</b>	<b>11,267</b>
<b>Percent Change</b>	<b>1.9%</b>	<b>2.1%</b>	<b>8.1%</b>	<b>1.2%</b>	<b>5.0%</b>	<b>4.0%</b>	<b>1.3%</b>	<b>3.7%</b>	<b>4.6%</b>	<b>2.5%</b>

## NOTES

<sup>1</sup> Does not include students, or employees working less than 50% time.

<sup>2</sup> Due to a programming change, 86 staff members not previously included in these counts are included in the 1999 numbers. This primarily affects the School of Medicine (20) and Administration (30). These are not new staff members.

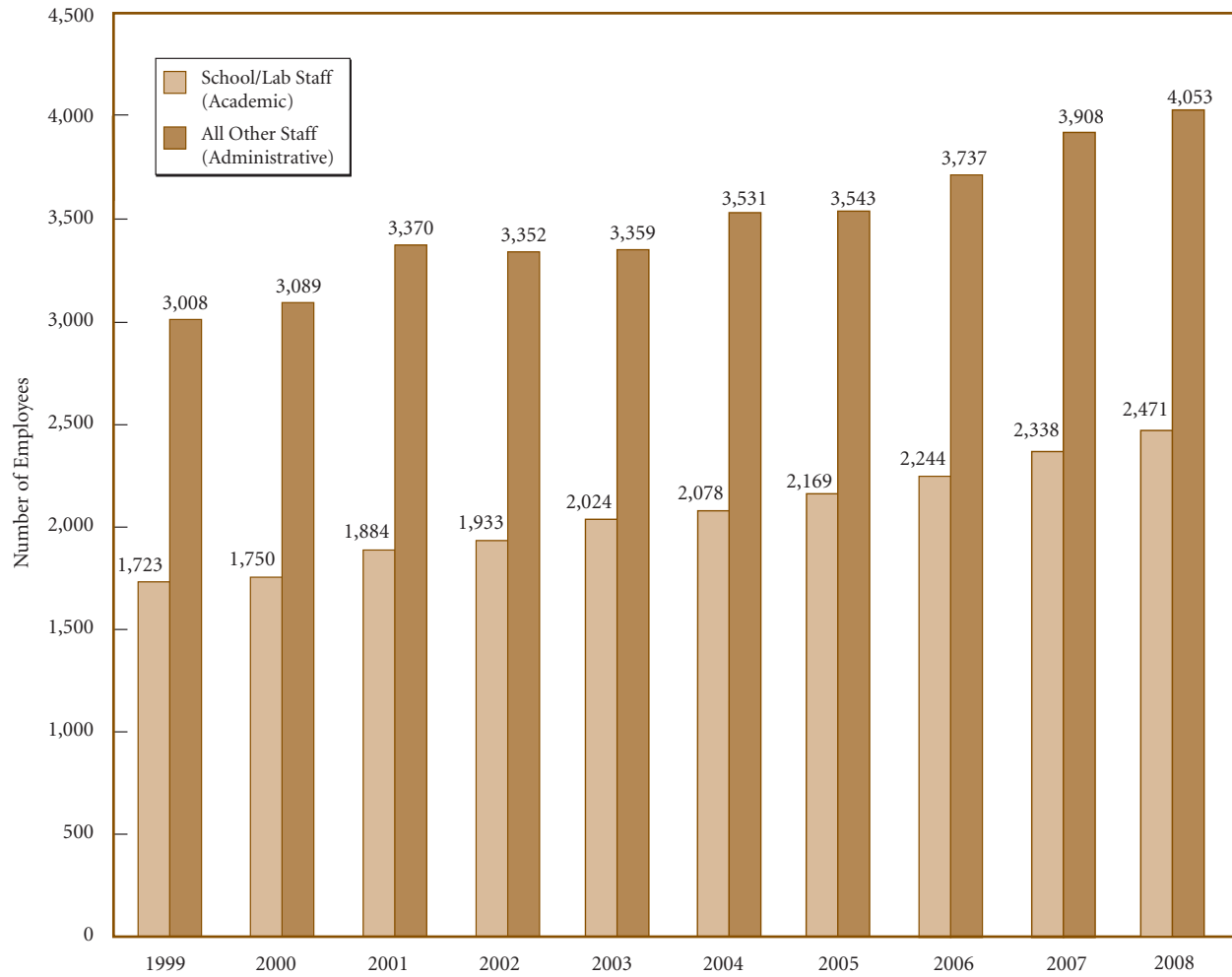
<sup>3</sup> The Hoover Libraries staff moved to the University Libraries organization in 2000/01. The Libraries also acquired Media Solutions, and the University Press in 2002/03.

<sup>4</sup> Lands and Buildings included Environmental Health and Safety, Public Safety and Procurement for 1994/95-1998/99 and Procurement again in 2001/02. Environmental Health and Safety (approximately 85 people) moved to the Dean of Research. Procurement (approximately 32 people) and Public Safety (approximately 52 people) moved to Business Affairs in 1999/00.

<sup>5</sup> The Stanford Alumni Association was an outside organization prior to 1998/99.

**SCHEDULE 13**

**STAFF EMPLOYEES IN UNITS OTHER THAN MEDICINE OR SLAC  
1999 THROUGH 2008, AS OF DECEMBER 15 OF EACH YEAR**





## SCHEDULE 14

**2009/10 PROJECTED CONSOLIDATED BUDGET FRINGE BENEFITS DETAIL**

[IN THOUSANDS OF DOLLARS]

Fringe Benefits Program	2006/07	2007/08	2008/09	2008/09	2009/10	2008/09 to 2009/10 Change	
	Actual Expenditures	Actual Expenditures	Negotiated Budget	Projected Year-End	Projected Budget	Amount	Percentage
<b>Pension Programs</b>							
University Retirement	89,418	92,656	94,661	96,918	99,045	2,127	2.2%
Social Security	82,794	87,460	93,793	94,342	97,604	3,262	3.5%
Faculty Early Retirement	8,787	8,270	8,126	12,419	12,020	(399)	-3.2%
Other	558	418	653	223	191	(32)	-14.3%
<b>Total Pension Programs</b>	<b>181,557</b>	<b>188,804</b>	<b>197,233</b>	<b>203,902</b>	<b>208,860</b>	<b>4,958</b>	<b>2.4%</b>
<b>Insurance Programs</b>							
Medical Insurance	71,473	85,206	102,362	101,794	112,743	10,949	10.8%
Retirement Medical	11,602	16,585	15,342	18,846	22,848	4,002	21.2%
Worker's Comp/LTD/ Unemployment Ins	5,743	17,294	13,140	19,209	17,292	(1,917)	-10.0%
Dental Insurance	10,674	11,295	12,380	12,762	13,970	1,208	9.5%
Group Life Insurance/Other	12,343	13,225	14,124	15,889	15,060	(829)	-5.2%
<b>Total Insurance Programs</b>	<b>111,835</b>	<b>143,605</b>	<b>157,348</b>	<b>168,500</b>	<b>181,913</b>	<b>13,413</b>	<b>8.0%</b>
<b>Miscellaneous Programs</b>							
Severance Pay	3,818	11,839	4,030	10,009	6,197	(3,812)	-38.1%
Sabbatical Leave	13,287	14,047	14,477	14,861	14,682	(179)	-1.2%
Other	11,596	11,697	13,893	12,797	12,425	(372)	-2.9%
<b>Total Miscellaneous Programs</b>	<b>28,701</b>	<b>37,583</b>	<b>32,400</b>	<b>37,667</b>	<b>33,304</b>	<b>(4,363)</b>	<b>-11.6%</b>
<b>Total Staff Benefits Programs</b>	<b>322,093</b>	<b>369,992</b>	<b>386,981</b>	<b>410,069</b>	<b>424,077</b>	<b>14,008</b>	<b>3.4%</b>
Carry-forward/Adjustment from Prior Year(s)	6,300	(6,702)	(10,841)	(10,841)	475	11,316	-104.4%
<b>Total with Carry-forward/Adjustment</b>	<b>328,393</b>	<b>363,290</b>	<b>376,140</b>	<b>399,228</b>	<b>424,552</b>	<b>25,324</b>	<b>6.3%</b>
<b>Budgeted Staff Benefits Rate</b>	<b>25.7%</b>	<b>26.4%</b>	<b>25.9%</b>	<b>27.4%</b>	<b>28.1%</b>		

## NOTE:

The University has four rates for 2009/10, and the single rate shown just above is the weighted average of those rates. The four rates are 30.5% for regular employees, which includes all faculty and staff with continuing appointments of half-time or more, 21.6% for post-doctoral scholars, 8.5% for contingent (casual or temporary) employees, and 5.0% for graduate teaching and research assistants.

**SCHEDULE 15****SPONSORED RESEARCH EXPENSE BY AGENCY AND FUND SOURCE<sup>1</sup>****2001/02 THROUGH 2007/08**

[IN THOUSANDS OF DOLLARS]

	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08
<b>US Government</b>							
Subtotal for US Government Agencies	432,967	488,110	545,525	577,623	542,316	537,232	511,629
<b>Agency<sup>2</sup></b>							
DoD	52,571	55,381	55,421	59,958	60,037	58,600	56,439
DoE (Not including SLAC)	22,391	24,496	20,957	25,591	25,584	28,102	23,160
NASA	67,069	87,311	97,727	94,606	61,338	47,704	39,092
DoEd	2,278	1,123	2,006	1,922	1,280	1,246	1,359
HHS	227,167	256,049	299,235	317,604	322,937	331,206	324,737
NSF	41,580	44,070	56,593	63,083	58,544	60,874	60,920
Other US Sponsors <sup>3</sup>	19,911	19,680	13,585	14,858	12,596	9,499	5,923
Direct Expense-US	319,559	364,036	405,342	427,900	396,225	392,153	373,067
Indirect Expense-US <sup>4</sup>	113,408	124,074	140,183	149,598	146,091	145,089	138,562
<b>Non-US Government</b>							
Subtotal for Non-US Government	84,390	87,352	96,001	105,143	108,254	117,438	132,628
Direct Expense-Non US	68,519	72,632	77,088	85,814	89,086	96,799	108,586
Indirect Expense-Non US	15,871	14,719	18,914	19,329	19,168	20,638	24,042
<b>Grand Totals-US plus Non-US</b>							
Grand Total	517,356	575,461	641,526	682,766	650,570	654,669	644,257
Grand Total Direct	388,077	436,668	482,430	513,714	485,311	488,953	481,653
Grand Total Indirect	129,279	138,793	159,097	168,928	165,259	165,727	162,604
% of Total from US Government	83.7%	84.8%	85.0%	84.6%	83.4%	82.1%	79.4%

<sup>1</sup> Figures are only for sponsored research; sponsored instruction or other non-research sponsored activity is not included. In addition, SLAC expense is not included in this table.

<sup>2</sup> Agency figures include both direct and indirect expense. Agency names are abbreviated as follows:

DoD=Department of Defense

DoE=Department of Energy

DoEd=Department of Education

HHS=Health & Human Services

NASA=National Aeronautics and Space Administration

NSF=National Science Foundation

<sup>3</sup> Prior to 2004, NSF contracts are included in the "Other" category

<sup>4</sup> DLAM = Department of Laboratory Animal Medicine indirects are included in this figure.

## SCHEDULE 16

PLANT EXPENDITURES BY UNIT<sup>1</sup>

2000/01 THROUGH 2007/08

[IN THOUSANDS OF DOLLARS]

Unit	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08
GSB	1,173	2,993	161		129	309	2,023	17,902
Earth Sciences	511	941	132	204	227	647	458	771
Education	587	(50)	128		583	2,626	1,934	2
Engineering	2,696	15,541	7,361	1,258	2,873	1,838	6,273	28,169
H&S	32,934	17,927	39,412	16,830	16,774	10,763	7,802	8,796
Law	1,838	6,586	1,475	2,319	1,429	992	19,595	64,256
Medicine	6,716	14,240	11,143	16,900	22,631	13,769	31,908	57,759
Libraries	3,267	6,483	11,485	3,809	332	1,131	219	457
Athletics	13,803	5,708	10,583	16,098	25,691	83,362	28,875	8,753
Residential & Dining Enterprises	29,195	40,255	35,434	14,144	10,308	14,054	17,568	13,101
All Other <sup>2</sup>	140,327	154,837	135,229	53,744	61,105	165,127	142,782	220,724
<b>Total</b>	<b>233,048</b>	<b>265,460</b>	<b>252,541</b>	<b>125,305</b>	<b>142,080</b>	<b>294,618</b>	<b>259,436</b>	<b>420,692</b>

SOURCE: SCHEDULE G-5, CAPITAL ACCOUNTING

<sup>1</sup> Expenditures are from either Plant or borrowed funds, and are for building construction or improvements, or infrastructure.

<sup>2</sup> Includes General Plant Improvements expense.

## SCHEDULE 17

### ENDOWMENT MARKET VALUE AND MERGED POOL RATE OF RETURN 1997/98 THROUGH 2007/2008

Year	Market Value of the Endowment (in thousands) <sup>1</sup>	Merged pool (for 12 months ending June 30)	
		Annual Nominal Rate of Return	Annual Real Rate of Return <sup>2</sup>
1997/98	4,774,888	1.3%	0.3%
1998/99	6,226,695	34.8%	33.3%
1999/00	8,885,905	39.8%	37.9%
2000/01	8,249,551	(7.3%)	(9.6%)
2001/02	7,612,769	(2.6%)	(3.7%)
2002/03	8,613,805	8.8%	7.2%
2003/04	9,922,041	18.0%	15.4%
2004/05	12,205,035	19.5%	17.0%
2005/06 <sup>3</sup>	14,084,676	19.5%	16.2%
2006/07	17,164,836	23.4%	20.7%
2007/08	17,214,373	6.2%	4.0%

SOURCE: STANFORD UNIVERSITY ANNUAL FINANCIAL REPORT

<sup>1</sup> In addition to market value changes generated by investment returns, annual market value changes are affected by the transfer of payout to support operations, new gifts, and transfers to other assets such as plant funds.

<sup>2</sup> The real rate of return is the nominal rate less the rate of price increases, as measured by the Gross Domestic Product price deflator.

<sup>3</sup> Beginning in 2005/06, living trusts are no longer included in the reported value of the endowment. The effect is to lower the market value for 2005/06 and beyond. For comparison, the restated value for 2005/06 would have been about \$14.7 million.

## SCHEDULE 18

## EXPENDABLE FUND BALANCES AT YEAR-END:

1999/00 THROUGH 2009/10

[IN MILLIONS OF DOLLARS]

	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	2006/07	2007/08	Projected 2008/09	Plan 2009/10	Avg Annual % Change 1998/99-2008/09
<b>Academic Units:</b>												
Graduate School of Business	38.7	37.6	33.3	41.7	40.2	43.5	62.8	62.9	64.0	63.6	61.5	4.7%
School of Earth Sciences	18.9	21.3	22.8	23.7	26.1	26.0	24.1	24.8	30.5	34.3	35.5	6.5%
School of Education	8.6	9.3	10.1	10.6	15.7	18.3	18.1	22.7	25.1	27.1	26.4	11.9%
School of Engineering	109.0	112.3	114.3	122.4	130.0	149.0	153.9	162.4	184.6	195.1	197.2	6.1%
School of Humanities & Sciences	86.0	112.2	140.0	138.3	140.3	138.8	142.4	174.0	206.4	231.5	238.2	10.7%
School of Law	10.4	12.4	14.7	16.5	18.3	20.7	21.1	21.4	25.3	22.9	23.1	8.3%
School of Medicine	270.2	307.0	325.1	354.0	350.7	372.6	427.3	459.0	443.7	449.5	452.3	5.3%
VP for Undergraduate Education	7.4	9.2	9.2	11.1	10.6	15.0	19.1	17.2	17.3	13.7	15.4	7.6%
Dean of Research	40.5	51.2	62.0	65.3	72.2	84.3	422.4*	383.6	378.5	347.0	333.0	23.5%
VP for Graduate Education								20.0	28.4	33.7	32.1	
Hoover Institution	22.0	24.8	26.0	23.3	13.5	11.3	16.1	19.1	35.5	29.9	27.7	2.3%
University Libraries	4.8	7.4	8.0	6.5	9.5	4.0	10.0	9.0	10.5	10.2	11.1	8.8%
<b>Total Academic Units (excluding SLAC)</b>	<b>616.5</b>	<b>704.6</b>	<b>765.5</b>	<b>813.4</b>	<b>827.1</b>	<b>881.5</b>	<b>1,317.3</b>	<b>1,376.3</b>	<b>1,449.8</b>	<b>1,458.5</b>	<b>1,453.5</b>	<b>9.0%</b>

\* Includes \$336 million in proceeds from sale of Google equity.



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