

## APPENDIX B

# SUPPLEMENTARY INFORMATION

The tables and graphs in this Appendix provide historical and statistical data on enrollment, tuition and room and board rates, financial aid, faculty, staff, selected expenditures, and the endowment. The short summaries below serve as an introduction to the schedules and point out interesting trends or historical occurrences.

### SCHEDULE 1 – STUDENT ENROLLMENT

Male undergraduates outnumbered female undergraduates in 2006/07, as they have since 1998/99. The number of TGRs (Terminal Graduate Registration) increased markedly in 1997/98, primarily because changes in federal policy requiring payment of the tuition of research assistants directly from research contracts and grants provided a strong incentive for eligible graduate students to register as TGRs. There was decrease of 48 TGRs in 2006/07, while the number of non-TGR graduate students increased in 2006/07 by 73 students.

### SCHEDULE 2 – FRESHMAN STUDENT APPLY/ADMIT/MATRICULATE STATISTICS

The number of applicants for the present freshman class increased by 10.6% to 22,333, the largest pool in Stanford's history. Only 11% of applicants were accepted, as Stanford has become increasingly selective over the past ten years. Stanford's yield rate is very strong and among the highest in the country, at just over 67%.

### SCHEDULE 3 – GRADUATE STUDENT APPLY/ADMIT/ENROLL STATISTICS

The number of applicants to Stanford's graduate and professional programs rose 4% to 31,583 in 2006/07. Stanford's graduate programs admitted only 13.7% of all applicants which is the lowest level in the past decade. The yield for graduate admits was around 52% from 1997 through 2003, but has averaged about 54.5% the past 3 years.

### SCHEDULE 4 – GRADUATE STUDENT SUPPORT

Stanford supports its graduate students and post-doctoral fellows with a variety of funding sources.

Teaching assistants and research assistants earn salaries as part of their appointment and most also receive an allowance applied against their tuition charges as part of their compensation.

Graduate fellows receive grants that cover some or all of their tuition charges, and many receive stipends that help cover living expenses. Post-doctoral students, over two-thirds of whom reside in the School of Medicine, also receive salaries as part of their appointment. Many also receive living expense stipends.

Grants and contracts cover much of the research assistant expenses, while university and school unrestricted (or general use) funds and expendable and endowment funds restricted specifically to graduate student aid cover the remaining expenses.

### SCHEDULE 5 – TUITION AND ROOM & BOARD RATES

The 2007/08 total cost of undergraduate tuition plus room & board increased by 5.2% over the previous year, ignoring inflation. Taking inflation into account and focusing only on tuition, over the past ten years, the average annual increase is 2.3%.

### SCHEDULE 6 – TUITION AND FEE INCOME

Total tuition income is expected to increase by 5.0% in 2007/08. While undergraduate and general graduate tuition rate will increase by 5.5% next year, the TGR rate is being held flat and the School of Medicine is increasing its tuition by only 4.5%.

### SCHEDULE 7 – UNDERGRADUATE FINANCIAL AID BY SOURCE OF FUNDS AND TYPE OF AID

This schedule shows the total amount of financial aid from all sources (including non-need based scholarship aid for athletics) awarded to undergraduate students. The last row shows Stanford tuition plus room and board. The difference between the Grand Total and Tuition grew from 1999/2000 until 2004/05 and then decreased slightly in 2005/06. Total scholarships and grants increased by 0.4% in 2005/06, as a result of a 4.5% tuition increase and a continuing sluggish economy.

The Stanford unrestricted funds portion of scholarships and grants, which had been rapidly declining in the late 1990s, more than doubled from 2000/01 to 2001/02, as other sources, particularly gifts and endowment income, increased more slowly than student need, due to poor economic conditions. Currently, however, the unrestricted funds portion of undergraduate financial aid is leveling off, with a decline from 2004/05 to 2005/06. Loan amounts have decreased for the last two years, while the work component of financial aid has increased, though student jobs are by far the smallest component of financial aid.

#### **SCHEDULE 8 – NEEDS AND SOURCES, INCLUDING PARENTAL AND STUDENT CONTRIBUTIONS**

This schedule shows the total expense and sources of support for undergraduate students who receive need-based financial aid. The number of students who receive need-based aid is expected to be up slightly from the current year but is roughly the same as the number in 2005/06. The significant changes in 2007/08 are in the mix of funding the overall need. Due to several enhancements to the financial aid program, targeted at middle-income families, total family contribution is expected to decrease 7.5%. This decrease, as well as the increases in financial aid costs due to higher tuition and room and board, will be met with sizable increases in endowment and general funds

#### **SCHEDULE 9 – STUDENTS HOUSED ON CAMPUS**

The percent of undergraduates housed on-campus has been about 90% for most of the past decade, several percentage points higher than the level during the mid-1990s due to a tighter and more expensive local rental market. The percent of graduate students housed by Stanford grew rapidly from 1997/98 through 2002/03, coincident with the availability of subsidized off-campus housing. Stanford has begun to gradually eliminate the off-campus subsidized housing program, since local rents have eased and more graduate housing has been built on-campus.

#### **SCHEDULE 10 – TOTAL PROFESSORIAL FACULTY**

The total professoriate has increased by 34 (less than 2%) since last year. The number of tenure-line faculty has increased by 46 in the last five years (less than 4%), while the non-tenure line faculty (consisting mostly of Medical Center Line faculty) has increased by 48 (9%) over the same period.

#### **SCHEDULE 11 – DISTRIBUTION OF TENURED, NON-TENURED, AND NON-TENURE LINE PROFESSORIAL FACULTY**

This schedule provides a disaggregated view of the data in Schedule 9 over the last three years. Schedule 10 shows that the total number of tenured faculty in the formula schools has increased by only 17 in the past three years, and the number of non-tenure faculty has decreased by 9. The number of non-tenure line faculty has decreased by 3.

#### **SCHEDULE 12 – NUMBER OF NON-TEACHING EMPLOYEES**

This schedule shows the number of regular (defined in the first footnote in the Schedule) non-teaching employees by activity. To maintain consistency in these data over time despite reorganizations, the activity categories have been defined broadly, and the table contains footnotes explaining various shifts across the categories or other changes over the period. The School of Medicine has been particularly affected by organizational changes. The number of employees increased by 3.7% in 2006 for the whole university. The new employees are scattered fairly evenly throughout the university.

#### **SCHEDULE 13 – STAFF EMPLOYEES OUTSIDE MEDICINE AND SLAC**

This graph shows the relative numbers and growth of staff employees who work in primarily academic versus administrative areas. Over the period shown, the number of academic and administrative staff grew an average of 3.6%. The number of employees in administrative areas increased by 5.5% in 2006. Employment in the schools and independent labs has increased steadily each year, consistent with the steady growth in research.

#### **SCHEDULE 14 – STAFF BENEFITS DETAIL**

The fringe benefits rates provide a mechanism to support the various components of non-salary compensation provided to employees. Stanford has four distinct fringe benefits rates for (1) regular benefits-eligible employees, which includes most faculty and staff, (2) post-doctoral research affiliates, (3) casual/temporary employees, and (4) graduate research and teaching assistants. Schedule 13 shows the programs and costs that contribute to the weighted average of the four individual benefits rates. Retirement programs and health insurance costs are the primary drivers of the benefits rates. Health insurance costs have increased dramatically in the past few years and are expected to increase by about 15.4% in 2007/08.

**SCHEDULE 15 – SPONSORED RESEARCH EXPENSE BY AGENCY AND FUND SOURCE**

In 2005/06 direct expense from research sponsored by the federal government decreased for the first time in twelve years, by \$31.6 million. Meanwhile, direct expense from research sponsored by non-federal sources increased slightly. Non-federal sponsored research typically makes up between 13%-17% of total sponsored research expense. This schedule does not include SLAC.

**SCHEDULE 16 – PLANT EXPENDITURES**

This schedule shows expenses from plant or borrowed funds for building or infrastructure projects related to various units. General Plant Improvement expenses are included in the “All Other” category. To the extent possible, expenditures for equipment are excluded from these calculations. Plant expenditures increased by \$152.5 million in 2005/06 due to some major projects such as the Stanford Stadium renovation and the

acquisition of the Mid Point campus in Redwood City. The details behind these plant expenditures can be found in Section 3, Capital Plan and Budget.

**SCHEDULE 17 – ENDOWMENT VALUE AND RATE OF RETURN**

The rate of return for the endowment in 2005/06 was 16.2%, substantially higher than the nominal long-term expected return. The nominal return on invested funds has been positive for all years in the table except for 2000/01 and 2001/02. The target payout rate is 5.00%.

**SCHEDULE 18 – EXPENDABLE FUND BALANCES AT YEAR END**

This schedule shows the expendable fund balances, designated and restricted, by academic unit over the past decade. We now show the Projection and the Plan as well. The large increase in Dean of Research is due to Google funds.

**SCHEDULE 1****STUDENT ENROLLMENT FOR AUTUMN QUARTER  
1997/98 THROUGH 2006/07**

Year	Undergraduate			Graduate			TGR	Total
	Women	Men	Total	Women	Men	Total		
1997/98	3,332	3,307	6,639	2,204	4,254	6,458	987	14,084
1998/99	3,281	3,310	6,591	2,253	4,312	6,565	988	14,144
1999/00	3,238	3,356	6,594	2,332	4,370	6,702	923	14,219
2000/01	3,243	3,305	6,548	2,405	4,348	6,753	947	14,248
2001/02	3,255	3,382	6,637	2,329	4,188	6,517	1,020	14,174
2002/03	3,301	3,430	6,731	2,305	4,109	6,414	1,194	14,339
2003/04	3,245	3,409	6,654	2,282	4,220	6,502	1,298	14,454
2004/05	3,250	3,503	6,753	2,363	4,408	6,771	1,321	14,845
2005/06	3,204	3,501	6,705	2,384	4,424	6,808	1,368	14,881
2006/07	3,240	3,449	6,689	2,389	4,492	6,881	1,320	14,890

SOURCE: Registrar's Office third week enrollment figures

## SCHEDULE 2

## FRESHMAN APPLY/ADMIT/ENROLL STATISTICS

## FALL 1996 THROUGH FALL 2006

Year	Total Applications		Admissions		Enrollment	
	Number	Percent Change from Previous Year	Number	Percent of Applicants Admitted	Number	Percent of Admitted Applicants Enrolling
Fall 1996	16,478	6.4%	2,634	16.0%	1,610	61.1%
Fall 1997	16,842	2.2%	2,596	15.4%	1,648	63.5%
Fall 1998	18,885	12.1%	2,505	13.3%	1,606	64.1%
Fall 1999	17,919	(5.1%)	2,689	15.0%	1,749	65.0%
Fall 2000	18,363	2.5%	2,425	13.2%	1,599	65.9%
Fall 2001	19,052	3.8%	2,406	12.6%	1,615	67.1%
Fall 2002	18,599	(2.4%)	2,368	12.7%	1,639	69.2%
Fall 2003	18,628	0.2%	2,343	12.6%	1,640	70.0%
Fall 2004	19,172	2.9%	2,486	13.0%	1,648	66.3%
Fall 2005	20,195	5.3%	2,426	12.0%	1,633	67.3%
Fall 2006	22,333	10.6%	2,444	10.9%	1,648	67.4%

**SCHEDULE 3****NEW GRADUATE STUDENT APPLY/ADMIT/ENROLL STATISTICS  
FALL 1996 THROUGH FALL 2006**

Year	Total Applications		Admissions		Enrollment	
	Number	Percent Change from Previous Year	Number	Percent of Applicants Admitted	Number	Percent of Admitted Applicants Enrolling
Fall 1996	28,160	(0.9%)	4,335	15.4%	2,153	49.7%
Fall 1997	27,924	(0.8%)	4,480	16.0%	2,323	51.9%
Fall 1998	28,877	3.4%	4,601	15.9%	2,376	51.6%
Fall 1999	28,295	(2.0%)	4,525	16.0%	2,387	52.8%
Fall 2000	27,095	(4.2%)	4,422	16.3%	2,288	51.7%
Fall 2001	27,201	0.4%	4,271	15.7%	2,175	50.9%
Fall 2002	30,500	12.1%	4,202	13.8%	2,185	52.0%
Fall 2003	32,503	6.6%	4,443	13.7%	2,300	51.8%
Fall 2004	30,630	(5.8%)	4,361	14.2%	2,378	54.5%
Fall 2005	30,381	(0.8%)	4,356	14.3%	2,405	55.2%
Fall 2006	31,583	4.0%	4,323	13.7%	2,337	54.1%

## SCHEDULE 4

## GRADUATE STUDENT AND POSTDOC SUPPORT BY SCHOOL BY SOURCE OF FUNDS

[IN MILLIONS OF DOLLARS]

	2004/05				2005/06			
	Total Expense	Unrestricted Funds <sup>1</sup>	Restricted Funds	Grants & Contracts	Total Expense	Unrestricted Funds <sup>1</sup>	Restricted Funds	Grants & Contracts
<b>Graduate Student Support</b>								
School of Earth Sciences	\$8.4	28.1%	46.5%	25.4%	\$8.9	24.0%	55.2%	20.8%
School of Education	5.3	21.8%	49.0%	29.2%	6.0	25.5%	52.9%	21.6%
School of Engineering	52.7	23.5%	30.8%	45.7%	55.6	25.5%	31.1%	43.4%
School of Humanities & Sciences	58.5	54.5%	25.2%	20.3%	58.4	54.1%	25.0%	20.9%
School of Law	4.0	3.9%	95.2%	0.9%	3.9	0.3%	99.7%	
School of Medicine	31.9	11.4%	35.9%	52.7%	31.8	12.1%	32.3%	55.6%
Graduate School of Business	11.1	29.5%	68.2%	2.3%	10.8	25.9%	73.2%	0.9%
Dean of Research	12.8	11.3%	15.9%	72.8%	14.2	13.0%	23.4%	63.6%
SLAC	2.1			100.0%	2.1			100.0%
Central Accounts	13.4	100.0%			13.5	99.9%	0.1%	
Other	20.7	52.7%	1.7%	45.6%	23.3	52.0%	2.8%	45.1%
<b>Total Graduate Student Support</b>	<b>\$220.9</b>	<b>35.9%</b>	<b>28.9%</b>	<b>35.2%</b>	<b>\$228.5</b>	<b>35.2%</b>	<b>30.3%</b>	<b>34.5%</b>
<b>Postdocs</b>								
School of Earth Sciences	\$1.0	8.0%	28.7%	63.4%	\$1.0	9.1%	30.6%	60.3%
School of Education	0.2		17.4%	82.8%	0.3			100.0%
School of Engineering	4.6	7.7%	10.8%	81.6%	5.1	3.2%	16.5%	80.3%
School of Humanities & Sciences	9.3	11.4%	8.1%	80.5%	9.2	13.0%	7.7%	79.3%
School of Law								
School of Medicine	41.3	13.8%	16.3%	69.9%	45.5	15.1%	16.6%	68.3%
Graduate School of Business								
Dean of Research	2.8	15.3%	17.0%	67.7%	3.0	23.7%	10.5%	65.8%
SLAC	0.1			100.0%	0.1			100.0%
Central Accounts			100.0%		0.1	90.5%	9.5%	
Other	0.1	100.0%						
<b>Total</b>	<b>\$59.4</b>	<b>13.1%</b>	<b>14.8%</b>	<b>72.1%</b>	<b>\$64.3</b>	<b>14.2%</b>	<b>15.1%</b>	<b>70.7%</b>

<sup>1</sup> Unrestricted Funds are General Funds, Designated Funds, and some Gift and Endowed Funds that can be used for any purpose within the school.

**SCHEDULE 5****UNDERGRADUATE TUITION AND ROOM & BOARD RATES  
1980/81 THROUGH 2007/08**

Year	Undergraduate Tuition	Percent Change from Previous Year	Room & Board	Percent Change from Previous Year	Total Cost	Percent Change from Previous Year
1980/81	6,285	12.3%	2,636	12.0%	8,921	12.2%
1981/82	7,140	13.6%	2,965	12.5%	10,105	13.3%
1982/83	8,220	15.1%	3,423	15.4%	11,643	15.2%
1983/84	9,027	9.8%	3,812	11.4%	12,839	10.3%
1984/85	9,705	7.5%	4,146	8.8%	13,851	7.9%
1985/86	10,476	7.9%	4,417	6.5%	14,893	7.5%
1986/87	11,208	7.0%	4,700	6.4%	15,908	6.8%
1987/88	11,880	6.0%	4,955	5.4%	16,835	5.8%
1988/89	12,564	5.8%	5,257	6.1%	17,821	5.9%
1989/90	13,569	8.0%	5,595	6.4%	19,164	7.5%
1990/91	14,280	5.2%	5,930	6.0%	20,210	5.5%
1991/92	15,102	5.8%	6,160	3.9%	21,262	5.2%
1992/93	16,536	9.5%	6,314	2.5%	22,850	7.5%
1993/94	17,775	7.5%	6,535	3.5%	24,310	6.4%
1994/95	18,669	5.0%	6,796	4.0%	25,465	4.8%
1995/96	19,695	5.5%	7,054	3.8%	26,749	5.0%
1996/97	20,490	4.0%	7,337	4.0%	27,827	4.0%
1997/98	21,300	4.0%	7,557	3.0%	28,857	3.7%
1998/99	22,110	3.8%	7,768	2.8%	29,878	3.5%
1999/00	23,058	4.3%	7,881	1.5%	30,939	3.6%
2000/01	24,441	6.0%	8,030	1.9%	32,471	5.0%
2001/02	25,917	6.0%	8,304	3.4%	34,221	5.4%
2002/03	27,204	5.0%	8,680	4.5%	35,884	4.9%
2003/04	28,563	5.0%	9,073	4.5%	37,636	4.9%
2004/05	29,847	4.5%	9,500	4.7%	39,347	4.5%
2005/06	31,200	4.5%	9,932	4.5%	41,132	4.5%
2006/07	32,994	5.8%	10,367	4.4%	43,361	5.4%
2007/08	34,800	5.5%	10,808	4.3%	45,608	5.2%

Average Annual Tuition Increase, 1980/81-2006/07: 6.8%

Average Annual Tuition Increase, 1997/98-2006/07: 4.9%

Average Annual Tuition Real Increase<sup>1</sup>, 1980/81-2006/07: 3.3%Average Annual Tuition Real Increase<sup>1</sup>, 1997/98-2006/07: 2.3%

Average Annual CPI Increase, 1980/81-2006/07: 3.5%

Average Annual CPI Increase, 1997/98-2006/07: 2.5%

<sup>1</sup> Real growth calculated using tuition adjusted to 2007 dollars using US Annual CPI-U values.



## SCHEDULE 6

**BREAKDOWN OF TUITION AND FEE INCOME****PROJECTED 2007/08 BUDGET**

[IN THOUSANDS OF DOLLARS]

	2006/07 Projected	2007/08 Budget	2006/07 to 2007/08 Change	
			Amount	Percentage
<b>Tuition:</b>				
Undergraduate	216,284	228,191	11,907	5.5%
Graduate	182,783	191,156	8,373	4.6%
Other <sup>1</sup>	16,414	16,566	152	0.9%
Summer	26,511	28,250	1,740	6.6%
<b>Total Tuition</b>	<b>441,992</b>	<b>464,164</b>	<b>22,172</b>	<b>5.0%</b>
<b>Total Fees</b>	<b>6,313</b>	<b>6,593</b>	<b>280</b>	<b>4.4%</b>
<b>Total Tuition and Fee Income</b>	<b>448,305</b>	<b>470,757</b>	<b>22,452</b>	<b>5.0%</b>

<sup>1</sup> "Other" includes TGR (Terminal Graduate Registration) students, post-doctoral fellows, and non-matriculated students.

## SCHEDULE 7

UNDERGRADUATE FINANCIAL AID BY SOURCE OF FUNDS AND TYPE OF AID<sup>1</sup>

1996/97 THROUGH 2005/06

[IN THOUSANDS OF DOLLARS]

	1996/97	1997/98	1998/99	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06
<b>Scholarships and Grants</b>										
Stanford Unrestricted Funds	13,611	12,201	13,420	8,954	4,568	10,349	13,561	13,848	14,281	12,672
Gifts and Endowment Income: Non-Athletic <sup>2</sup>	20,027	22,526	23,235	26,871	35,660	35,711	38,317	41,357	43,749	47,983
Athletic Awards	7,471	8,232	8,614	8,874	9,842	10,627	11,331	11,809	12,687	13,393
Departmental Awards	1,372	1,743	2,016	2,238	3,263	3,766	3,853	4,712	4,783	4,937
Trademark Income									158	108
External Grants <sup>3</sup>	13,757	15,541	15,343	16,713	16,383	17,824	20,431	21,361	21,367	18,361
Subtotal for Scholarships and Grants	56,238	60,243	62,629	63,649	69,717	78,278	87,493	93,087	97,025	97,453
<b>Loans</b>										
University Funds	1,233	787	600	666	612	9		22		
External Funds	11,519	12,791	12,354	11,279	9,987	11,159	11,690	12,544	12,271	11,549
Subtotal for Loans	12,752	13,578	12,953	11,946	10,599	11,168	11,690	12,567	12,271	11,549
<b>Jobs</b>										
University Funds <sup>4</sup>	3,295	3,255	2,387	2,252	1,120	1,408	1,458	1,839	1,236	1,368
External Funds	457	691	859	476	736	686	871	1,724	2,014	2,417
Subtotal for Jobs	3,752	3,945	3,246	2,728	1,857	2,094	2,329	3,563	3,250	3,785
<b>Grand Total</b>	<b>72,742</b>	<b>77,766</b>	<b>78,828</b>	<b>78,323</b>	<b>82,173</b>	<b>91,540</b>	<b>101,511</b>	<b>109,216</b>	<b>112,546</b>	<b>112,787</b>
<b>Stanford Tuition plus Room and Board</b>	<b>27,827</b>	<b>28,857</b>	<b>29,878</b>	<b>30,939</b>	<b>32,471</b>	<b>34,221</b>	<b>35,884</b>	<b>37,636</b>	<b>39,347</b>	<b>41,132</b>

<sup>1</sup> Figures are actual expenses and are in thousands of dollars. The data include all funds awarded to undergraduate students administered through the Financial Aid Office, including aid that is not need-based.

<sup>2</sup> Includes support from the Stanford Fund.

<sup>3</sup> All grants from Federal, state, or private sources.

<sup>4</sup> Includes university match of funds from outside sources.

## SCHEDULE 8

**UNDERGRADUATE FINANCIAL AID**  
**PROJECTED 2007/08 BUDGET NEEDS AND SOURCES,**  
**INCLUDING PARENTAL AND STUDENT CONTRIBUTIONS<sup>1</sup>**  
 [IN THOUSANDS OF DOLLARS]

	2005/06 Actuals	2006/07 Projected	2007/08 Budget	2006/07 to 2007/08 Change	
				Amount	Percentage
<b>Needs</b>					
Tuition, Room & Board	112,165	117,156	123,385	6,229	5.3%
Books and Personal Expenses	9,458	9,651	9,953	302	3.1%
Travel	1,814	1,851	1,910	59	3.2%
<b>Total Needs</b>	<b>123,436</b>	<b>128,658</b>	<b>135,248</b>	<b>6,590</b>	<b>5.1%</b>
<b>Sources</b>					
Total Family Contribution (includes parent contribution for aided students, self-help, summer savings, assets, etc.)	50,574	50,133	46,359	(3,774)	(7.5%)
Endowment Income <sup>2</sup>	37,197	45,000	51,703	6,703	14.9%
Expendable Gifts	876	600	500	(100)	(16.7%)
Stanford Fund	9,836	10,340	11,064	724	7.0%
Federal Grants	3,458	4,320	4,348	28	0.7%
California State Scholarships	4,123	3,790	3,984	194	5.1%
Outside Awards	4,450	4,015	4,041	26	0.7%
Department Sources	255	250	350	100	40.0%
Unrestricted Funds	12,668	10,211	12,899	2,689	26.3%
<b>Total Sources</b>	<b>123,436</b>	<b>128,658</b>	<b>135,248</b>	<b>6,590</b>	<b>5.1%</b>
<b>Number of Students on Need-Based Aid</b>	<b>2,789</b>	<b>2,769</b>	<b>2,787</b>	<b>18</b>	<b>0.7%</b>

<sup>1</sup> In this table, sources of aid other than the family contribution include only aid awarded to students who are receiving scholarship aid from Stanford. Thus, the sum of the amounts for scholarships and grants will not equal the figures in Schedule 5.

<sup>2</sup> Endowment income includes reserve funds and specifically invested funds.

**SCHEDULE 9****STUDENTS HOUSED ON CAMPUS  
1993/94 THROUGH 2006/2007**

Year	Undergraduates Housed On-Campus	Percent of Undergraduates Housed On-Campus	Graduate Students Housed On-Campus	Graduate Students Housed in Off-Campus Subsidized Apartments	Percent of Graduate Students Housed by Stanford
1993/94	5,799	88%	3,069		41.3%
1994/95	5,734	87%	3,132		41.9%
1995/96	5,819	88%	3,090		41.4%
1996/97	5,749	88%	2,980		41.0%
1997/98	5,864	88%	3,320		44.6%
1998/99	5,917	90%	3,717	250	52.5%
1999/00	5,955	90%	3,408	584	52.4%
2000/01	5,969	91%	3,887	687	59.4%
2001/02	6,199	93%	3,748	932	62.1%
2002/03	6,138	91%	3,828	932	62.6%
2003/04	6,067	91%	4,013	632	59.6%
2004/05	6,046	90%	4,391	553	61.1%
2005/06	6,116	91%	4,218	430	58.8%
2006/07	6,050	90%	4,255	356	56.2%

## SCHEDULE 10

**TOTAL PROFESSORIAL FACULTY<sup>1</sup>****1976/77 THROUGH 2006/07**

	Professors	Associate Professors	Assistant Professors <sup>2</sup>	Tenure Line Total	Non-Tenure Line Professors	Grand Total
1976/77	571	194	304	1,069		1,069
1977/78	586	199	287	1,072	86	1,158 <sup>3</sup>
1978/79	600	211	292	1,103	91	1,194
1979/80	620	210	286	1,116	94	1,210
1980/81	642	205	279	1,126	104	1,230
1981/82	661	200	294	1,155	103	1,258
1982/83	672	195	284	1,151	116	1,267
1983/84	682	195	286	1,163	129	1,292
1984/85	691	194	272	1,157	135	1,292
1985/86	708	191	261	1,160	135	1,295
1986/87	711	192	262	1,165	150	1,315
1987/88	719	193	274	1,186	149	1,335
1988/89	709	200	268	1,177	147	1,324
1989/90	715	198	265	1,178	146	1,324
1990/91	742	195	278	1,215	161	1,376
1991/92	756	205	263	1,224	182	1,406 <sup>4</sup>
1992/93	740	209	245	1,194	214	1,408
1993/94	729	203	241	1,173	225	1,398
1994/95	724	198	252	1,174	256	1,430
1995/96	723	205	241	1,169	287	1,456
1996/97	731	205	239	1,175	313	1,488
1997/98	750	213	231	1,194	341	1,535
1998/99	758	217	237	1,212	383	1,595
1999/00	771	204	255	1,230	411	1,641
2000/01	764	198	268	1,230	440	1,670
2001/02	768	204	274	1,246	455	1,701
2002/03	771	202	259	1,232	481	1,713
2003/04	783	196	269	1,248	498	1,746
2004/05	792	193	280	1,265	514	1,779
2005/06	789	210	263	1,262	511	1,773
2006/07	807	210	261	1,278	529	1,807

DATA SOURCE: Provost's Office

<sup>1</sup> Some appointments are coterminous with the availability of funds.<sup>2</sup> Assistant Professors subject to Ph.D. are included.<sup>3</sup> Beginning in 1977/78, non-tenure line Professors are included.<sup>4</sup> Beginning in 1991/92, Medical Center Line and Senior Fellows in policy centers and institutes are included.

## SCHEDULE 11

**DISTRIBUTION OF TENURED, NON-TENURED, AND NON-TENURE LINE PROFESSORIAL FACULTY<sup>1</sup>**  
**2004/05 THROUGH 2006/07**

School Unit or Program	2004/05				2005/06				22006/07			
	Tenured	Non- Tenured	Non- Tenure Line	Total	Tenured	Non- Tenured	Non- Tenure Line	Total	Tenured	Non- Tenured	Non- Tenure Line	Total
Earth Sciences	36	6	4	46	32	8	4	44	33	9	3	45
Education	35	8	3	46	35	8	3	46	34	8	4	46
Engineering	152	52	23	227	158	53	19	230	158	54	19	231
Humanities and Sciences	371	139	19	529	372	122	21	515	380	124	20	524
(Humanities)	(155)	(52)	(11)	(218)	(157)	(45)	(11)	(213)	(160)	(47)	(9)	(216)
(Natural Sciences & Math)	(116)	(33)	(5)	(154)	(117)	(30)	(4)	(151)	(122)	(28)	(6)	(156)
(Social Sciences)	(100)	(54)	(3)	(157)	(98)	(47)	(6)	(151)	(98)	(49)	(5)	(152)
Law	34	5	3	42	34	5	4	43	37	6	5	48
Other	3		15	18	4	1	13	18	7	1	12	20
Subtotal	618	203	66	887	632	211	66	909	649	202	63	914
Business	57	34	2	93	63	36	2	101	67	28	1	96
Medicine	239	65	442	746	238	58	443	739	244	60	462	766
SLAC	25	2	4	31	29	3	3	35	25	3	3	31
Total	953	312	514	1,779	968	294	511	1,773	985	293	528	1,807

<sup>1</sup> Population includes some appointments made part-time, "subject to Ph.D.," and coterminous with the availability of funds.

## SCHEDULE 12

**NUMBER OF NON-TEACHING EMPLOYEES  
AS OF DECEMBER 15 EACH YEAR<sup>1</sup>  
1997 THROUGH 2006**

Activity	1997	1998	1999 <sup>2</sup>	2000	2001	2002	2003	2004	2005	2006
School of Medicine <sup>2</sup>	1,900	2,039	2,194	2,260	2,421	2,471	2,819	2,910	2,973	3,020
Other Schools:										
Business, Earth Sciences, Education, Engineering, Humanities and Sciences, Law Dept of Athletics, Physical Education and Recreation	1,328	1,353	1,350	1,375	1,493	1,506	1,576	1,641	1,705	1,764
Dean of Research	304	300	373	375	391	427	448	437	464	480
Stanford Linear Accelerator Center	1,300	1,271	1,287	1,286	1,385	1,415	1,432	1,496	1,456	1,512
Student Services:										
Student Affairs, Admissions & Financial Aid	225	240	249	237	257	248	266	261	265	291
Libraries <sup>3</sup>	342	374	372	377	456	466	515	515	528	541
Business Affairs Information Technology	391	407	409	436	518	498	457	430	394	400
Office of Development	126	129	136	147	156	153	155	170	196	216
University Lands and Buildings <sup>4</sup>	471	469	350	340	376	375	389	392	405	422
Housing and Dining	285	323	331	338	373	404	488	521	508	531
Stanford Alumni Association <sup>5</sup>		84	76	88	108	113	98	104	108	114
Stanford Management Company	48	49	53	54	63	69	62	62	66	69
Other Academic										
Hoover <sup>3</sup> , Learning Technology and Extended Education (through 2001/02), VPUE (1998/99-present) <sup>6</sup>	191	229	230	242	219	205	160	248	175	255
Administration										
Business Affairs, President's Office, Provost's Office, General Counsel, Press (until 2003/04), VP for Public Affairs (2003/04-present)	549	595	685	699	716	698	642	698	757	751
<b>TOTAL</b>	<b>7,561</b>	<b>7,972</b>	<b>8,212</b>	<b>8,385</b>	<b>9,060</b>	<b>9,171</b>	<b>9,634</b>	<b>10,015</b>	<b>10,141</b>	<b>10,531</b>
<b>Percent Change</b>	<b>4.8%</b>	<b>5.4%</b>	<b>1.9%</b>	<b>2.1%</b>	<b>8.1%</b>	<b>1.2%</b>	<b>5.0%</b>	<b>4.0%</b>	<b>1.3%</b>	<b>3.7%</b>

## NOTES

<sup>1</sup> Does not include students, or employees working less than 50% time. Over time, university functions may move from one organization to another.

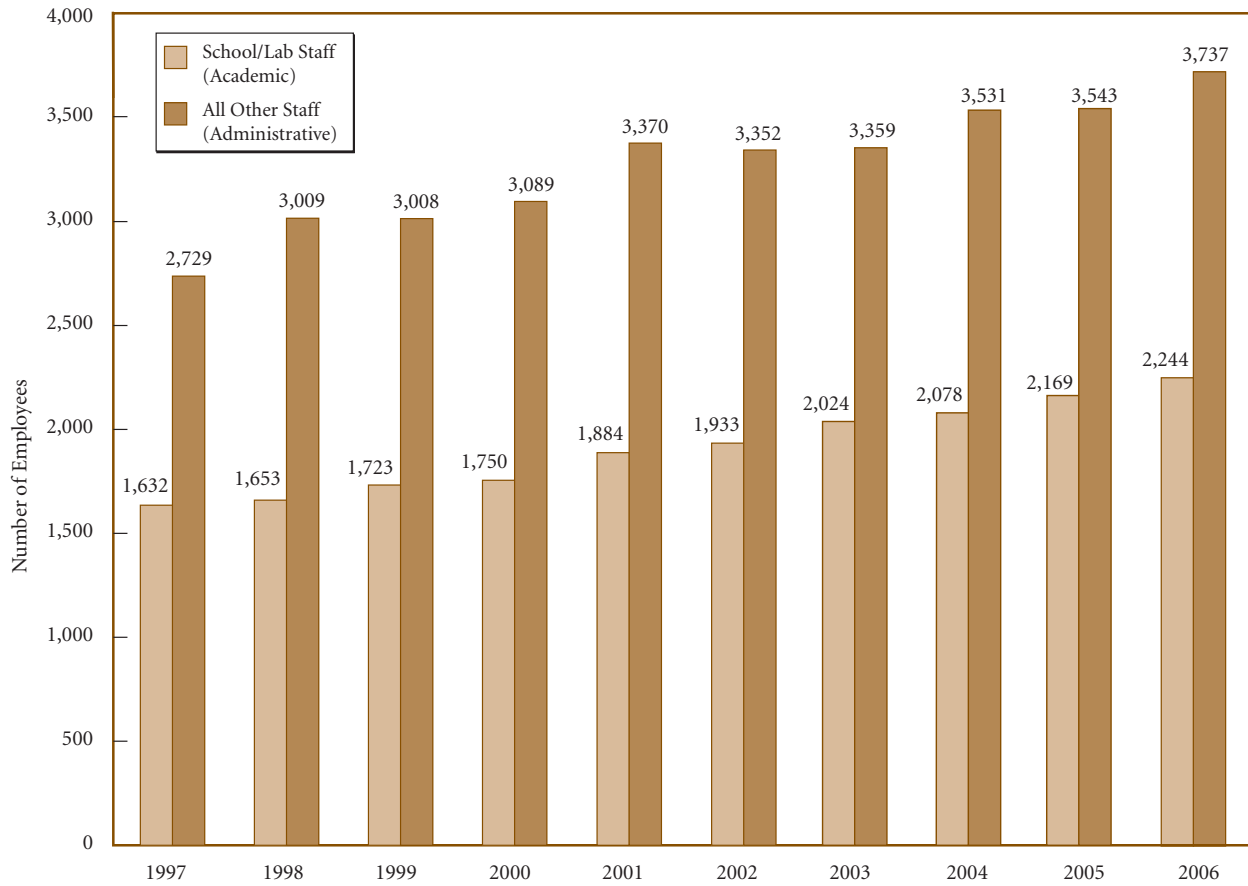
<sup>2</sup> Due to a programming change, 86 staff members not previously included in these counts are included in the 1999 numbers. This primarily affects the School of Medicine (20) and Administration (30). These are not new staff members.

<sup>3</sup> The Hoover Libraries staff moved to the University Libraries organization in 2000/01. The Libraries also acquired Media Solutions, and the University Press in 2002/03.

<sup>4</sup> Lands and Buildings included Environmental Health and Safety, Public Safety and Procurement for 1994/95-1998/99 and Procurement again in 2001/02. Environmental Health and Safety moved to the Dean of Research, and Procurement and Public Safety moved to Business Affairs in 1999/00.

<sup>5</sup> The Stanford Alumni Association was an outside organization prior to 1998/99.

<sup>6</sup> Prior to 1998/99, VPUE staff were counted as part of H&S.

**SCHEDULE 13****STAFF EMPLOYEES IN UNITS OTHER THAN MEDICINE OR SLAC  
1997 THROUGH 2006, AS OF DECEMBER 15 OF EACH YEAR**



## SCHEDULE 14

**2007/08 PROJECTED CONSOLIDATED BUDGET FRINGE BENEFITS DETAIL**

[IN THOUSANDS OF DOLLARS]

Fringe Benefits Program	2004/05 Actuals	2005/06 Actuals	2006/07		2007/08 Budget	2006/07 to 2007/08 Change	
			Negotiated Budget	2006/07 Projected		Amount	Percentage
<b>Pension Programs</b>							
University Retirement	78,200	83,084	87,985	87,039	88,484	1,445	1.7%
Social Security	70,387	72,420	78,885	81,951	87,123	5,172	6.3%
Faculty Early Retirement	7,864	6,108	5,556	8,141	7,877	(264)	(3.2%)
Other	4,120	528	446	673	672	(1)	(0.1%)
<b>Total Pension Programs</b>	<b>160,571</b>	<b>162,140</b>	<b>172,872</b>	<b>177,804</b>	<b>184,156</b>	<b>6,352</b>	<b>3.6%</b>
<b>Insurance Programs</b>							
Medical Insurance	56,721	71,774	73,758	74,402	85,896	11,494	15.4%
Retirement Medical	16,747	17,321	16,544	11,805	12,537	732	6.2%
Workers' Comp/LTD/ Unemployment Insurance	11,253	6,646	18,826	13,242	14,979	1,737	13.1%
Dental Insurance	9,134	9,874	10,445	10,696	11,701	1,005	9.4%
Group Life Insurance/Other	9,523	12,374	12,282	12,306	13,258	952	7.7%
<b>Total Insurance Programs</b>	<b>103,378</b>	<b>117,989</b>	<b>134,855</b>	<b>122,451</b>	<b>138,371</b>	<b>15,920</b>	<b>13.0%</b>
<b>Miscellaneous Programs</b>							
Severance Pay	6,339	3,595	3,513	4,095	3,467	(628)	(15.3%)
Sabbatical Leave	12,551	11,943	12,216	12,784	13,116	332	2.6%
Other	10,977	11,329	12,219	12,833	13,287	454	3.5%
<b>Total Miscellaneous Programs</b>	<b>29,867</b>	<b>26,867</b>	<b>27,948</b>	<b>29,712</b>	<b>29,870</b>	<b>158</b>	<b>0.5%</b>
<b>Total Fringe Benefits Programs</b>	<b>293,816</b>	<b>306,996</b>	<b>335,675</b>	<b>329,967</b>	<b>352,397</b>	<b>22,430</b>	<b>6.8%</b>
Carry-forward/Adjustment from Prior Year(s)	13,606	15,577	6,300	6,300	(5,818)	(12,118)	(192.3%)
<b>Total with Carryforward/Adjustments</b>	<b>307,422</b>	<b>322,573</b>	<b>341,975</b>	<b>336,267</b>	<b>346,579</b>	<b>10,312</b>	<b>3.1%</b>
<b>Budgeted Fringe Benefits Rate</b>	<b>27.5%</b>	<b>27.2%</b>	<b>27.0%</b>	<b>26.5%</b>	<b>25.7%</b>		

## NOTE:

The university has four rates for 2007/08, and the single rate shown just above is the weighted average of those rates. The four rates are 28.1% for regular employees, which includes all faculty and staff with continuing appointments of half-time or more, 21.0% for post-doctoral scholars, 7.7% for contingent (casual or temporary) employees, and 4.0% for graduate teaching and research assistants.

**SCHEDULE 15****SPONSORED RESEARCH EXPENSE BY AGENCY AND FUND SOURCE<sup>1</sup>****1999/00 THROUGH 2005/06**

[IN THOUSANDS OF DOLLARS]

	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06
<b>US Government</b>							
Subtotal for US Government Agencies	371,180	391,156	432,967	488,110	545,525	577,623	542,316
<b>Agency<sup>2</sup></b>							
DoD	45,689	49,246	52,571	55,381	55,421	59,958	60,037
DoE (Not including SLAC)	18,483	21,760	22,391	24,496	20,957	25,591	25,584
NASA	63,194	54,767	67,069	87,311	97,727	94,606	61,338
DoEd	2,302	3,618	2,278	1,123	2,006	1,922	1,280
HHS	186,032	204,461	227,167	256,049	299,235	317,604	322,937
NSF	39,060	39,112	41,580	44,070	56,593	63,083	58,544
Other US Sponsors <sup>3</sup>	16,422	18,193	19,911	19,680	13,585	14,858	12,596
Direct Expense-US	275,853	287,865	319,559	364,036	405,342	427,900	396,225
Indirect Expense-US <sup>4</sup>	95,327	103,291	113,408	124,074	140,183	149,598	146,091
<b>Non-US Government</b>							
Subtotal for Non-US Government	73,094	73,012	84,390	87,352	96,001	105,143	108,254
Direct Expense-Non US	58,538	59,209	68,519	72,632	77,088	85,814	89,086
Indirect Expense-Non US	14,556	13,803	15,871	14,719	18,914	19,329	19,168
<b>Grand Totals-US plus Non-US</b>							
Grand Total	444,275	464,168	517,356	575,461	641,526	682,766	650,570
Grand Total Direct	334,392	347,074	388,077	436,668	482,430	513,714	485,311
Grand Total Indirect	109,883	117,093	129,279	138,793	159,097	168,928	165,259
% of Total from US Government	83.5%	84.3%	83.7%	84.8%	85.0%	84.6%	83.4%

<sup>1</sup> Figures are only for sponsored research; sponsored instruction or other non-research sponsored activity is not included. In addition, SLAC expense is not included in this table.

<sup>2</sup> Agency figures include both direct and indirect expense. Agency names are abbreviated as follows:

DoD=Department of Defense

DoE=Department of Energy

DoEd=Department of Education

HHS=Health & Human Services

NASA=National Aeronautics and Space Administration

NSF=National Science Foundation

<sup>3</sup> Prior to 2004, NSF contracts are included in the "Other" category

<sup>4</sup> DLAM indirects are included in this figure.

## SCHEDULE 16

PLANT EXPENDITURES BY UNIT<sup>1</sup>

1998/99 THROUGH 2005/06

[IN THOUSANDS OF DOLLARS]

Unit	1998/99	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06
GSB	14,400	11,644	1,173	2,993	161		129	309
Earth Sciences	250	1,321	511	941	132	204	227	647
Education	454	297	587	(50)	128		583	2,626
Engineering	40,801	12,221	2,696	15,541	7,361	1,258	2,873	1,838
H&S	22,409	14,006	32,934	17,927	39,412	16,830	16,774	10,763
Law	1,031	156	1,838	6,586	1,475	2,319	1,429	992
Medicine	40,902	47,888	6,716	14,240	11,143	16,900	22,631	13,769
Libraries	17,823	8,937	3,267	6,483	11,485	3,809	332	1,131
Athletics	7,007	10,666	13,803	5,708	10,583	16,098	25,691	83,362
Residential & Dining Enterprises	30,317	57,206	29,195	40,255	35,434	14,144	10,308	14,054
All Other <sup>2</sup>	104,361	143,075	140,327	154,837	135,229	53,744	61,105,	165,127
<b>Total</b>	<b>279,754</b>	<b>307,418</b>	<b>233,048</b>	<b>265,460</b>	<b>252,541</b>	<b>125,305</b>	<b>142,080</b>	<b>294,618</b>

SOURCE: SCHEDULE G-5, CAPITAL ACCOUNTING

<sup>1</sup> Expenditures are from either Plant or borrowed funds, and are for building construction or improvements, or infrastructure.

<sup>2</sup> Includes General Plant Improvements expense.

**SCHEDULE 17****ENDOWMENT MARKET VALUE AND RATE OF RETURN  
1995/96 THROUGH 2005/06**

Year	Market Value of the Endowment (in thousands) <sup>1</sup> as of August 31	Merged Endowment Pool Annual Nominal Rate of Return	Annual Real Rate of Return <sup>2</sup>
1995/96 <sup>3</sup>	3,779,420	20.2%	18.2%
1996/97	4,667,002	23.4%	21.2%
1997/98	4,774,888	1.3%	0.3%
1998/99	6,226,695	34.8%	33.3%
1999/00	8,885,905	39.8%	37.9%
2000/01	8,249,551	(7.3%)	(9.6%)
2001/02	7,612,769	(2.6%)	(3.7%)
2002/03	8,613,805	8.8%	7.2%
2003/04	9,922,041	18.0%	15.4%
2004/05	12,205,035	19.5%	17.0%
2005/06	14,084,676	19.5%	16.2%

SOURCE: STANFORD UNIVERSITY ANNUAL FINANCIAL REPORT

<sup>1</sup> Includes endowment funds subject to living trust agreements through 2004/05.<sup>2</sup> The real rate of return is the nominal rate less the rate of price increases, as measured by the Gross Domestic Product price deflator.<sup>3</sup> The method of valuing some assets changed in 1995/96. The effect was to lower the market value for 1995/96 and beyond. The restated value for 1994/95 under the new methodology would have been \$3.225 billion.

## SCHEDULE 18

**EXPENDABLE FUND BALANCES AT YEAR-END:  
1997/98 THROUGH 2007/08**

[IN MILLIONS OF DOLLARS]

	1997/98	1998/99	1999/00	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	Projected 2006/07	Plan 2007/08	Avg Annual % Change 1997/98-2007/08
<b>Academic Units:</b>												
Graduate School of Business	28.4	31.6	38.7	37.6	33.3	41.7	40.2	43.5	62.8	57.3	55.8	7.0%
School of Earth Sciences	14.1	14.4	18.9	21.3	22.8	23.7	26.1	26.0	24.1	24.9	24.2	5.5%
School of Education	4.8	7.1	8.6	9.3	10.1	10.6	15.7	18.3	18.1	20.0	20.5	15.6%
School of Engineering	93.8	104.7	109.0	112.3	114.3	122.4	130.0	149.0	153.9	147.2	150.6	4.8%
School of Humanities & Sciences	71.9	79.7	86.0	112.2	140.0	138.3	140.3	138.8	142.4	148.4	159.9	8.3%
School of Law	10.6	9.8	10.4	12.4	14.7	16.5	18.3	20.7	21.1	19.8	19.4	6.2%
School of Medicine	225.2	252.0	270.2	307.0	325.1	354.0	350.7	372.6	427.3	417.4	410.7	6.2%
VP for Undergraduate Education	3.3	5.4	7.4	9.2	9.2	11.1	10.6	15.0	19.1	18.3	17.0	17.8%
Dean of Research	47.9	51.1	40.5	51.2	62.0	65.3	72.2	84.3	422.4*	377.7	375.8	22.9%
VP for Graduate Education										16.4	13.8	
Hoover Institution	13.1	18.9	22.0	24.8	26.0	23.3	13.5	11.3	16.1	18.6	22.2	5.5%
University Libraries	3.9	4.6	4.8	7.4	8.0	6.5	9.5	4.0	10.0	9.0	6.4	5.1%
<b>Total Academic Units</b>	<b>516.9</b>	<b>579.3</b>	<b>616.5</b>	<b>704.6</b>	<b>765.5</b>	<b>813.4</b>	<b>827.1</b>	<b>881.5</b>	<b>1,317.3</b>	<b>1,274.9</b>	<b>1,276.4</b>	<b>9.5%</b>

\* Includes \$336 million in proceeds from sale of Google Equity

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