

APPENDIX B

SUPPLEMENTARY INFORMATION

The tables and graphs in this Appendix provide a general picture of Stanford's status in several different areas. The short summaries below serve as an introduction to the schedules and point out interesting trends or historical occurrences.

Schedule 1 - Student Enrollment

Male undergraduates slightly outnumbered female undergraduates in 2000/01, as they have since 1998/99. The number of TGR's (Terminal Graduate Registration) increased markedly in 1997/98, primarily because changes in Federal policy requiring payment of the tuition of Research Assistants directly from research contracts and grants provided a strong incentive for encouraging eligible graduate students to register as TGRs. This year there was a slight increase in TGRs, but the number remains below the record high 1998/99 level. The number of non-TGR graduate students again increased this year, by 51 students, to continue the upward rise in the number of graduate students that began in 1997/98.

Schedule 2 - Freshman Student Apply/Admit/Matriculate Statistics

The number of applicants for the present freshman class was the second largest in Stanford's history, and represents a 2.5% increase from last year. Only 13.2% of applicants were accepted. This is the lowest in the past ten years, showing Stanford's increasing selectivity. The yield rate continues to rise both as a result of Stanford's popularity and the addition of an early decision program in 1996.

Schedule 3 - Tuition and Room & Board Rates

In the early 1980's, tuition at Stanford rose by

about 10% each year. The rates of increase slowed substantially after that, and in the last five years the rates of increase in total expense (tuition plus room and board) have been the lowest in the entire period shown in the table. Increases in room & board rates have been very small in the last few years, often less than inflation. In 2000/01, tuition increased by 6%, the largest tuition increase since 1993/94 (7.5%). The total expense increased by 5.0%, the largest total expense increase since 1995/96.

Schedule 4 - Tuition and Fee Income

Total tuition income is expected to increase at a rate (4.9%) lower than the increase in the tuition rate (6.0%). The lower growth rate is primarily due to a policy change that decreased Post-Doctoral tuition from \$995 per quarter to \$125 per quarter (see the "Other" category). Application fees, the primary source of fee income, are expected to decrease slightly in 2001/02.

Schedule 5 - Undergraduate Financial Aid by Source of Funds and Type of Aid

This schedule shows the total amount of financial aid from all sources (including non-need based scholarship aid for athletics) awarded to undergraduate students. The last row shows Stanford tuition plus room and board. Total scholarships and grants increased by 1.6% in 1999/00, as a result of several financial aid policy changes designed to reduce parental contributions and loans. Total loans continued to decline, and now the total stands below the 1994/95 level. The jobs component of financial aid has been declining since 1994/95.

Schedule 6 - Needs and Sources, Including Parental and Student Contributions

This schedule shows the total expense and sources of support for undergraduate students who receive need-based financial aid. The last row shows the number of students who receive need-based aid. The expected need amount increases by more than the tuition, room, and board increase for next year (5.0%) because we expect slightly more students to be aided. On the "Sources" side for 2001/02 the expected family contribution is expected to decrease by 1.0% due to a new financial aid policy that reduces self-help for all students. Endowment income will fill in by providing 15.2% more funds to financial aid than in the previous year. Since fewer unrestricted funds were needed in 2000/01 than expected, the percentage increase in unrestricted funds for 2001/02 is more pronounced than usual. Unrestricted funds are the source used to make up the difference between need and all other sources, so the amount must increase disproportionately when most of the other sources are expected to grow less than need, as is the case for next year.

Schedule 7 - Total Professorial Faculty

The total professoriate has increased by 29 people (about 1.8%) since last year. Much of this growth was in the non-tenure line faculty, fueled by increases in Medical Center Line faculty in the School of Medicine. The number of tenure line faculty has not changed from last year, and has increased back to the 1991/92 level.

Schedule 8 - Distribution of Tenured, Non-Tenured, and Non-Tenure Line Professorial Faculty

This schedule provides a disaggregated view of the data in Schedule 7 over the last three years. Schedule 8 shows that while the total number of tenure-line faculty has grown slightly in the past three years (by 18), the number of tenure line faculty who have not obtained tenure has increased more (by 28), particularly in the Humanities (13). The number of non-tenure line faculty has increased as more faculty move to the non-tenure line Medical Center Line positions.

Schedule 9 - Number of Non-Teaching Employees

This schedule shows the number of regular (defined in the first footnote in the Schedule) non-teaching employees by activity. The activity categories do not track well to the current reporting relationships among administrative units, but to maintain consistency in these data over time in the face of reorganizations, the activity categories have been defined broadly. Even with these broad categories the table has a number of footnotes indicating shifts across the categories or other changes over the period. The School of Medicine has been particularly affected by organizational changes.

The number of employees increased by 178 in 2000. 63 new employees are in the School of Medicine, and 89 in the other schools. The other increases are distributed throughout the University. Student Services and the Libraries had decreases in non-teaching employees.

Schedule 10 - Staff Employees Outside Medicine and SLAC

This graph shows the relation between two series of numbers of employees in various years since 1990. The first series is staff employees in the schools (except Medicine) and independent laboratories (the sum of employees in the categories labeled "Other Academic" and "Institutes and Research Labs" in the previous schedule.) The second is a measure of "core" administrative staff who are paid almost entirely from general funds. This category excludes those employed in the schools and labs, SLAC, and the auxiliary activities in the previous schedule (Athletics, Housing and Dining Services, Tresidder, and the Faculty Club).

The number of core staff trended down and declined by about 10% between 1990 and 1995 until increasing 2% in 1996, 4% in 1997, 4% in 1998 (after factoring out the Alumni Association), almost 5% percent in 1999, and less than 1% in 2000. This employee growth coincides with increases in some administrative areas of the university, particularly information systems.

Employment in the schools and independent labs has increased each year (except last year) since 1992, for a growth rate of 14%. Much of this growth was probably related to a steady growth in sponsored research (see Schedule 12). However, in 1999, the number of staff in the schools and labs dropped very slightly, by 8 people, and then increased in 2000 by 72.

Schedule 11 - Staff Benefits Detail

To support the various components of non-salary benefits provided to employees, a benefits rate is assessed to all salary and wage transactions. After momentous changes in 1997/98 (multiple benefit rates introduced, the removal of tuition remission from the benefits pool, and a change to a contributory retirement plan for all non-union employees), the changes for the last three years have been much simpler excluding the removal of the faculty/staff tuition grant program from the benefits pool in 1999/00. The changes in Insurance Programs categories, as well as any other noticeable increases and decreases, are due to rate changes, more employees utilizing particular existing benefits, or complicated issues related to how Stanford funds these various programs.

Schedule 12 - Sponsored Research Expense by Agency and Fund Source

Direct expense from research sponsored by the federal government increased each year in the table. The amount of government-sponsored research in 1999/00 increased by 2.7%. This rather small increase was mostly caused by a decrease in funding from the Department of Defense and NASA. Non-US Government sponsored research reached 16.5% of total sponsored research expense in 1999/00, the highest percentage in the years in this table, continuing the trend toward more non-US government sponsored research. Research at SLAC is not included in this Schedule.)

Schedule 13 - Plant Expenditures

This schedule shows expenses from plant or borrowed funds for building or infrastructure

projects related to various units. General Plant Improvement expenses are included in the "All Other" category. To the extent possible, Expenditures for equipment are excluded from these calculations. These expenses have more than doubled since 1995/96 due to the construction of the Science and Engineering Quad and various seismic upgrade and earthquake repair projects such as Green Library, the Museum, and Encina. Plant expenditures increased 9.9% in 1999/00, due partly to the GSB renovation, Clark Center, Sand Hill Road apartments, the Clinical Sciences Research building, and the new Arrillaga Alumni Center.

Schedule 14 - Endowment Value and Rate of Return

The nominal return on invested funds has been positive each of the years shown and has generally exceeded 10% per year. The target for annual real return on endowment funds is 6.25%, net of management fees. The average annual real return over the entire period of the table has clearly exceeded that figure, and the figure itself has been met in all but two years in the table. Historically, this period has produced exceptional market returns for both stock and bond investments, and the market value of the Stanford endowment has grown to almost \$9 billion.

1997/98 was an anomaly in that the general stock market suffered a severe downturn at the end of August 1998, just as the fiscal year ended, which had the effect of reducing the endowment's market value at the precise time it was benchmarked. However, the market recovered that decline and much more by the end of 1998, and so did the endowment market value. 1998/99 and 1999/00 were superlative years for the endowment, reflecting the general increase in the stock market.

Schedule 15 - Expendable Fund Balances at Year End: 1989/90 through 1999/00

This schedule shows the expendable fund balances (designated & restricted) by academic unit over the past decade.

SCHEDULE 1**Student Enrollment for Autumn Quarter
1991/92 through 2000/01**

Year	Undergraduate			Graduate			TGR	Total
	Women	Men	Total	Women	Men	Total		
1991/92	2,947	3,580	6,527	1,884	4,436	6,320	702	13,549
1992/93	3,020	3,544	6,564	1,994	4,555	6,549	780	13,893
1993/94	3,073	3,500	6,573	2,030	4,571	6,601	828	14,002
1994/95	3,133	3,428	6,561	2,117	4,509	6,626	844	14,031
1995/96	3,267	3,310	6,577	2,186	4,424	6,610	857	14,044
1996/97	3,283	3,267	6,550	2,094	4,279	6,373	888	13,811
1997/98	3,332	3,307	6,639	2,204	4,254	6,458	987	14,084
1998/99	3,281	3,310	6,591	2,253	4,312	6,565	988	14,144
1999/00	3,238	3,356	6,594	2,332	4,370	6,702	923	14,219
2000/01	3,243	3,305	6,548	2,405	4,348	6,753	947	14,248

Source: Registrar's Office third week enrollment figures

SCHEDULE 2**Freshman Apply/Admit/Enroll Statistics
Fall 1990 through Fall 2000**

Year	Total Applications		Admissions		Enrollment	
	Number	Percent Change from Previous Year	Number	Percent of Applicants Admitted	Number	Percent of Admitted Applicants Enrolling
Fall 1990	12,954	(13.1%)	2,874	22.2%	1,600	55.7%
Fall 1991	13,528	4.4%	2,715	20.1%	1,526	56.2%
Fall 1992	13,209	(2.4%)	2,912	22.0%	1,595	54.8%
Fall 1993	13,604	3.0%	2,926	21.5%	1,607	54.9%
Fall 1994	14,707	8.1%	2,942	20.0%	1,590	54.0%
Fall 1995	15,485	5.3%	2,908	18.8%	1,597	54.9%
Fall 1996	16,478	6.4%	2,634	16.0%	1,610	61.1%
Fall 1997	16,842	2.2%	2,596	15.4%	1,648	63.5%
Fall 1998	18,885	12.1%	2,505	13.3%	1,606	64.1%
Fall 1999	17,919	(5.1%)	2,689	15.0%	1,749	65.0%
Fall 2000	18,363	2.5%	2,425	13.2%	1,599	65.9%

SCHEDULE 3**Undergraduate Tuition and Room & Board Rates
1980/81 through 2000/01**

Year	Undergraduate Tuition	Percent Change from Previous Year	Room & Board	Percent Change from Previous Year	Total Cost	Percent Change from Previous Year
1980/81	6,285	12.3%	2,636	12.0%	8,921	12.2%
1981/82	7,140	13.6%	2,965	12.5%	10,105	13.3%
1982/83	8,220	15.1%	3,423	15.4%	11,643	15.2%
1983/84	9,027	9.8%	3,812	11.4%	12,839	10.3%
1984/85	9,705	7.5%	4,146	8.8%	13,851	7.9%
1985/86	10,476	7.9%	4,417	6.5%	14,893	7.5%
1986/87	11,208	7.0%	4,700	6.4%	15,908	6.8%
1987/88	11,880	6.0%	4,955	5.4%	16,835	5.8%
1988/89	12,564	5.8%	5,257	6.1%	17,821	5.9%
1989/90	13,569	8.0%	5,595	6.4%	19,164	7.5%
1990/91	14,280	5.2%	5,930	6.0%	20,210	5.5%
1991/92	15,102	5.8%	6,160	3.9%	21,262	5.2%
1992/93	16,536	9.5%	6,314	2.5%	22,850	7.5%
1993/94	17,775	7.5%	6,535	3.5%	24,310	6.4%
1994/95	18,669	5.0%	6,796	4.0%	25,465	4.8%
1995/96	19,695	5.5%	7,054	3.8%	26,749	5.0%
1996/97	20,490	4.0%	7,337	4.0%	27,827	4.0%
1997/98	21,300	4.0%	7,557	3.0%	28,857	3.7%
1998/99	22,110	3.8%	7,768	2.8%	29,878	3.5%
1999/00	23,058	4.3%	7,881	1.5%	30,939	3.6%
2000/01	24,441	6.0%	8,030	1.9%	32,471	5.0%

SCHEDULE 4
Breakdown of Tuition and Fee Income
Projected 2001/02 Budget
(in thousands of dollars)

	2000/01 Budget	Projected 2000/01 Year-End	Projected 2001/02 Budget	2000/01 to 2001/02 Change	
				Amount	Percent
Tuition:					
Undergraduate	156,832	155,664	165,342	9,678	6.2%
Graduate	125,437	128,973	135,740	6,768	5.2%
Other	13,617	9,779	10,825	1,046	10.7%
Summer	18,415	18,427	19,023	596	3.2%
Total Tuition	314,302	312,842	330,930	18,087	5.8%
Miscellaneous Fees:					
Application Fees	3,305	3,305	3,289	(16)	(0.5%)
Other Fees	1,100	1,100	1,100		
Total Fees	4,405	4,405	4,389	(16)	(0.4%)
Total Tuition and Fee Income	318,707	317,247	335,318	18,071	5.7%

Note: The large decrease in Other Tuition results primarily from a policy change that decreased Post-Doctoral tuition from \$995 per quarter to \$125 per quarter.

SCHEDULE 5

Undergraduate Financial Aid by Source of Funds and Type of Aid¹										
1991/92 through 1999/00										
(in thousands of dollars)										
	1991/92	1992/93	1993/94	1994/95	1995/96	1996/97	1997/98	1998/99	1999/00	
Scholarships and Grants										
Stanford Unrestricted Funds	15,834	16,420	17,736	16,593	17,513	13,611	12,201	12,420	7,919	
Gifts and Endowment Income:										
Non-Athletic ²	6,868	10,936	12,355	14,762	15,692	20,027	22,526	24,235	27,871	
Athletic Awards	5,252	5,603	5,639	6,328	6,626	7,471	8,232	8,614	8,874	
Departmental Awards	98	782	566	455	415	1,372	1,743	2,016	2,238	
External Grants ³	8,884	8,983	9,448	10,407	11,477	13,757	15,541	15,343	16,713	
Subtotal for Scholarships and Grants	36,936	42,724	45,744	48,545	51,723	56,238	60,243	62,629	63,615	
Loans										
University Funds	1,529	1,333	1,382	1,157	1,290	1,233	787	600	666	
External Funds	8,181	9,234	9,763	11,389	11,453	11,519	12,791	12,354	11,279	
Subtotal for Loans	9,710	10,567	11,145	12,546	12,743	12,752	13,578	12,953	11,946	
Jobs										
University Funds ⁴	1,473	1,869	3,897	4,175	3,602	3,295	3,255	2,387	2,252	
External Funds	110	128	396	367	438	457	691	859	476	
Subtotal for Jobs	1,583	1,997	4,293	4,542	4,040	3,752	3,945	3,246	2,728	
Grand Total	48,229	55,288	61,182	65,633	68,506	72,742	77,766	78,828	78,323	
Stanford per Student Tuition plus										
Room and Board (whole dollars)	21,262	22,850	24,310	25,465	26,749	27,827	28,857	30,939	32,471	

1 Figures are actual expenses and are in thousands of dollars. The data include all funds awarded to undergraduate students administered through the Financial Aid Office, including aid that is not need-based.

2 Includes support from the Stanford Fund.

3 All grants from federal, state, or private sources.

4 Includes University match of funds from outside sources.

SCHEDULE 6

Undergraduate Financial Aid
Projected 2001/02 Budget Needs and Sources,
Including Parental and Student Contributions¹
(in thousands of dollars)

	1999/00 Actual	2000/01 Year End Projection	2001/02 Proposed Budget	Change from 2000/01 to 2001/02	Percent Change from 2000/01 to 2001/02
Needs					
Tuition, Room & Board	74,440	78,004	83,163	5,159	6.6%
Books and Personal Expense	7,015	7,194	7,354	160	2.2%
Travel	1,433	1,474	1,446	(28)	(1.9%)
Total Needs	82,888	86,672	91,963	5,291	6.1%
Sources					
Total Family Contribution (Includes parent contribution for aided students, self-help, summer savings, assets, etc.)	36,857	36,175	35,809	(366)	(1.0%)
Endowment Income ²	19,691	24,000	27,639	3,639	15.2%
Expendable Gifts	234	300	300		
Stanford Fund ³	7,806	9,000	8,800	(200)	(2.2%)
Federal Grants	3,561	3,500	3,500		
California State Scholarships	3,786	3,800	3,800		
Outside Awards	2,722	3,000	3,200	200	6.7%
Department Sources	312	400	400		
Unrestricted Funds	7,919	6,497	8,515	2,018	31.1%
Total Sources	82,888	86,672	91,963	5,291	6.1%
Number of Students on Need-Based Aid	2,519	2,500	2,520	20	0.8%

1 In this table sources of aid other than the family contribution include only aid awarded to students who are receiving scholarship aid from Stanford. Thus, the sum of the amounts for scholarships and grants will not equal the figures in Schedule 5.

2 Endowment income includes reserve funds and specifically invested funds.

3 Stanford Fund includes the President's Fund in applicable years.

SCHEDULE 7**Total Professorial Faculty¹
1974/75 through 2000/01**

	Professors	Associate Professors	Assistant Professors ²	Tenure Line Total	Non-Tenure Line Professors	Grand Total
1974/75	556	193	284	1,033		1,033
1975/76	565	186	295	1,046		1,046
1976/77	571	194	304	1,069		1,069
1977/78	586	199	287	1,072	86	1,158 ³
1978/79	600	211	292	1,103	91	1,194
1979/80	620	210	286	1,116	94	1,210
1980/81	642	205	279	1,126	104	1,230
1981/82	661	200	294	1,155	103	1,258
1982/83	672	195	284	1,151	116	1,267
1983/84	682	195	286	1,163	129	1,292
1984/85	691	194	272	1,157	135	1,292
1985/86	708	191	261	1,160	135	1,295
1986/87	711	192	262	1,165	150	1,315
1987/88	719	193	274	1,186	149	1,335
1988/89	709	200	268	1,177	147	1,324
1989/90	715	198	265	1,178	146	1,324
1990/91	742	195	278	1,215	161	1,376
1991/92	756	205	263	1,224	182	1,406 ⁴
1992/93	740	209	245	1,194	214	1,408
1993/94	729	203	241	1,173	225	1,398
1994/95	724	198	252	1,174	256	1,430
1995/96	723	205	241	1,169	287	1,456
1996/97	731	205	239	1,175	313	1,488
1997/98	750	213	231	1,194	341	1,535
1998/99	758	217	237	1,212	383	1,595
1999/00	771	204	255	1,230	411	1,641
2000/01	764	198	268	1,230	440	1,670

Data Source: Provost's Office

1 Some appointments are coterminous with the availability of funds.

2 Assistant Professors subject to Ph.D. are included.

3 Beginning in 1977/78, non-tenure line Professors are included.

4 Beginning in 1991/92, Medical Center Line and Senior Fellows in policy centers and institutes are included.

SCHEDULE 8**Distribution of Tenured, Non-Tenured, and Non-Tenure Line Professorial Faculty¹
1998/99 through 2000/01**

School, Unit or Program	1998/99				1999/00				2000/01			
	Tenured	Non- Tenured	Non- Tenure Line	Total	Tenured	Non- Tenured	Non- Tenure Line	Total	Tenured	Non- Tenured	Non- Tenure Line	Total
Earth Sciences	33	3	4	40	32	6	4	42	33	5	46	44
Education	33	6	1	40	34	9	2	45	32	11	2	45
Engineering	153	40	27	220	151	43	28	222	149	45	26	220
Humanities and Sciences	362	124	18	504	371	133	18	522	359	144	16	519
(Humanities)	(153)	(52)	(7)	(212)	(157)	(58)	(8)	(223)	(149)	(65)	(8)	(222)
(Nat. Sciences & Math)	(105)	(32)	(8)	(145)	(112)	(34)	(7)	(153)	(110)	(36)	(5)	(151)
(Social Sciences)	(104)	(40)	(3)	(147)	(102)	(41)	(3)	(146)	(100)	(43)	(3)	(146)
Law	39	5	1	45	39	2	1	42	39	2	1	42
Other	1		9	10	2	1	10	13	4	1	11	16
Subtotal	621	178	60	859	629	14	63	886	616	208	62	886
Business	54	30	1	85	54	27	1	82	53	31	1	85
Medicine	248	55	318	621	247	53	343	643	245	52	373	670
SLAC	20	6	4	30	20	6	4	30	19	6	4	29
Total	943	269	383	1,595	950	280	411	1,641	933	297	440	1,670

¹ Population includes some appointments made part-time, "subject to Ph.D.," and coterminous with the availability of funds.

Data Source: Provost's Office

SCHEDULE 9
**Number of Non-Teaching Employees
As of December 31 of Each Year¹
1991/92 through 1999/00**

Activity	1992	1993	1994	1995	1996	1997	1998	1999 ⁷	2000
School of Medicine ²	1,950	2,073	1,614	1,563	1,670	1,880	2,008	2,183	2,246
Other Academic:									
Business, Earth Sciences, Education, Engineering, Humanities and Sciences, Law	1,024	1,040	1,042	1,115	1,119	1,194	1,243	1,227	1,316
Physical Education and Athletics	82	83	84	98	104	110	111	118	132
Institutes and Research Labs	365	369	364	358	384	388	371	379	362
Stanford Linear Accelerator Center	1,301	1,240	1,355	1,311	1,310	1,300	1,271	1,287	1,286
Student Services:									
Admissions, ASSU, Bechtel International Center, Dean of Student Affairs, Financial Aids, Graduate Division, Memorial Church, Overseas Studies, Placement Center, Haas Center for Public Service, Registrar, Residential Education, Student Health, NSI	258	252	233	232	237	226	241	278	233
Libraries:									
Includes personnel from all Libraries, Art Galleries, and Museums	574	558	569	567	573	604	651	661	639
Central Information Services ³ :									
Information Resources, Data Center, Networking and Communication Systems	245	264	274	359	366	386	408	415	441
Development Office	197	175	134	136	135	126	127	141	147
Plant Construction, Protection, and Maintenance:									
Facilities Project Management, Health and Safety, Health Physics, O&M, Planning, Procurement, Public Safety, Risk Management	473	455	449	446	470	504	487	510	513
Housing and Dining Services	271	255	272	271	284	301	337	337	345
Tresidder and Faculty Club ⁴	32	31	21	21	1				
Administration: ^{3,5,6}									
Finance, President's Office, Provost's Office, Faculty/Staff Services, Public Affairs, University Counsel, Press, Events & Services, Alumni Association	665	672	634	557	563	590	734	769	823
TOTAL	7,437	7,467	7,045	7,034	7,216	7,609	7,989	8,305	8,483
Percent Change		0.4%	(5.7%)	(0.2%)	2.6%	5.4%	5.0%	2.8%	2.1%

1 Does not include students or employees working less than 50% time.

2 The School of Medicine decline in 1994 primarily reflects the integration of the Faculty Practice Plan and some clinics into Stanford Health Services (SHS). The increase in 1997 is in part due to the shifting of some staff back into the School of Medicine as part of the UCSF merger.

3 The staff members in BISA were counted in Administration prior to 1995. That function is now in Information Services.

4 Faculty Club and Tresidder services have been contracted to outside companies.

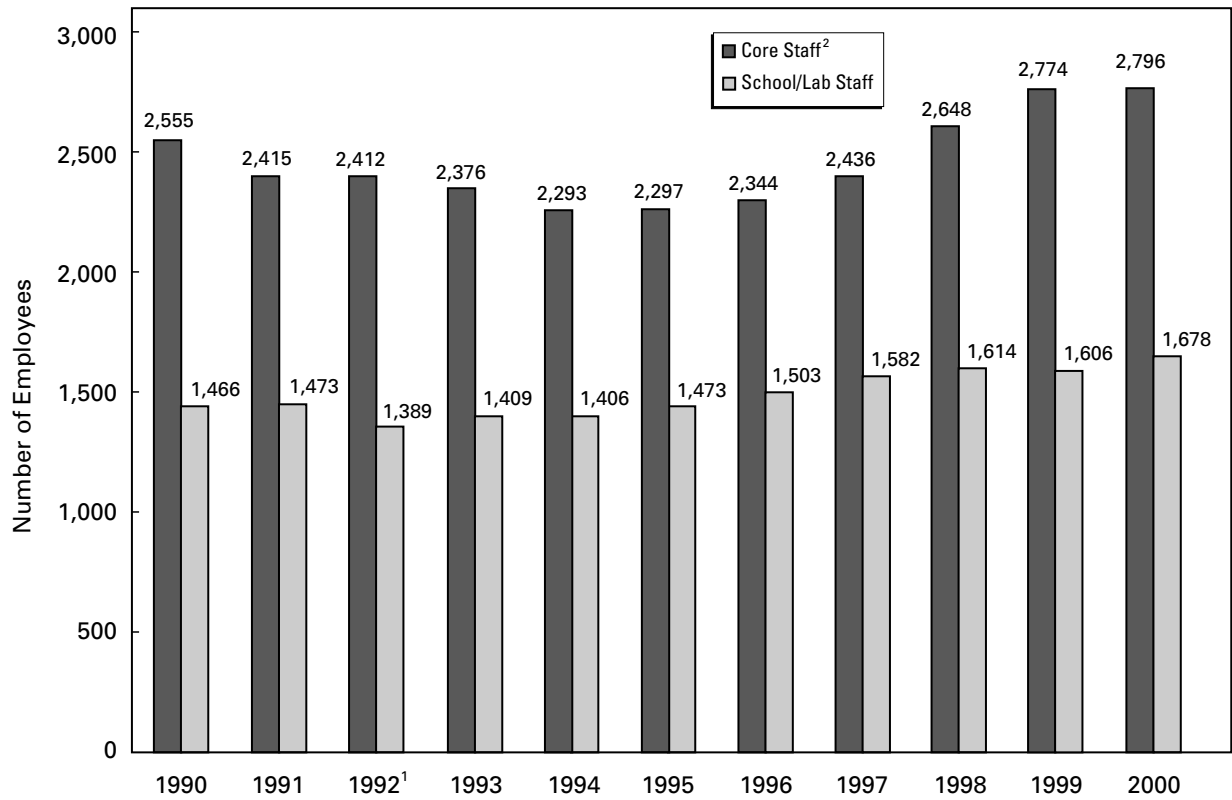
5 Administration includes the University Press and Events and Services in all years.

6 Administration includes the Alumni Association in 1998 and beyond.

7 Due to a programming change, 86 staff members not previously included in these counts are included in the 1999 numbers. This primarily affects the School of Medicine (20) and Administration (30). These are not new staff members.

SCHEDULE 10

**Staff Employees in Units Other than Medicine or SLAC
1990 through 2000, as of December 31 of each year**



1 SSRL was removed from the Labs in 1993 in this graph. This change reduced Lab staff by about 85.

2 Core Staff includes Student Services, Libraries, Information Services, Development, Plant, and Administration.

SCHEDULE 11**2001/02 Projected Consolidated Budget Staff Benefits Detail**

(in thousands of dollars)

Staff Benefits Program	1998/99 Actual Expenses	1999/00 Actual Expenses	2000/01 Negotiated Budget	2001/02 Projected Budget	Increase/(Decrease) 2000/01 to 2001/02	
Pension Programs:						
University Retirement	46,539	49,404	53,130	58,041	4,911	9.2%
Social Security	44,941	48,507	52,085	57,040	4,955	9.5%
Faculty Early Retirement	7,845	7,497	6,327	5,215	(1,112)	(17.6%)
Other	1,092	976	1,231	1,254	23	1.9%
Total Pension Programs	100,417	106,384	112,773	121,550	8,777	7.8%
Tuition Waiver Programs:						
Faculty/Staff Tuition Grant Program	5,337					
Research Assistants Teaching Assistants						
Total Tuition Waiver Programs	5,337					
Insurance Programs:						
Medical Insurance	23,379	22,517	25,748	28,440	2,692	10.5%
Retirement Medical	2,934	3,625	4,074	7,823	3,749	92.0%
Worker's Comp/LTD/ Unemployment Insurance	5,854	3,977	7,832	6,769	1,063	13.6%
Dental Insurance	5,568	5,938	6,193	6,484	291	4.7%
Group Life Insurance/Other	4,573	4,585	4,504	3,370	(1,134)	(25.2%)
Total Insurance Programs	42,308	40,642	48,351	52,886	4,535	9.4%
Miscellaneous Programs:						
Severance Pay	1,982	1,989	2,729	3,200	471	17.3%
Sabbatical Leave	7,738	8,621	8,099	8,527	428	5.3%
Other	4,873	5,708	6,706	10,641	3,935	58.7%
Total Miscellaneous Programs	14,593	16,318	17,534	22,368	4,834	27.6%
Total Staff Benefits Programs Expense	162,655	163,344	178,658	196,804	18,146	10.2%
Carryforward/Adjustment from Prior Year(s)	(858)	1,366	1,252	(2,237)	(3,489)	NA
Total Expense with Carryforward/Adjustments	161,797	164,710	179,910	194,567	14,657	8.1%
Blended Fringe Benefits Rate	24.1%	22.8%	23.2%	23.0%		

Note: The University has three fringe benefit rates for 2001/02, and the single rate shown just above is the weighted average of the three rates. The three rates are 24.0% for regular employees, which includes all faculty and staff with continuing appointments of half-time or more, 11.6% for post-doctoral scholars, and 8.1% for contingent (casual or temporary) employees.

As of 1999/00, the Tuition Grant Program is no longer included in the fringe benefits rate. The TGP benefits rate is charged separately.

SCHEDULE 12

Sponsored Research Expense by Agency and Fund Source¹**1993/94 through 1999/00**

(in thousands of dollars)

	1993/94	1994/95	1995/96	1996/97	1997/98	1998/99	1999/00
US Government							
Subtotal for US							
Government Agencies	271,326	275,580	298,149	336,661	347,109	358,942	371,180
Agency²							
DoD	40,384	44,390	48,185	53,984	53,593	54,569	45,689
DoE (Except SLAC)	9,216	9,049	7,958	8,309	10,523	13,176	18,483
NASA	57,394	58,728	66,626	84,449	77,707	67,492	63,194
DoEd			301	2,173	2,433	2,489	2,302
HHS	129,306	125,440	132,754	141,897	155,643	170,403	186,032
NSF	25,436	28,230	29,969	32,730	34,050	36,303	39,060
Other US Sponsors	9,590	9,743	12,356	13,119	13,160	14,509	16,422
Direct Expense-US	192,758	199,908	215,828	252,806	263,674	268,547	275,853
Indirect Expense-US ³	78,568	75,672	82,321	83,855	83,435	90,395	95,327
Non-US Government							
Subtotal for Non-US							
Government	40,566	41,245	44,307	48,836	53,941	58,095	73,094
Direct Expense-Non US	32,640	33,280	35,804	39,430	43,671	47,022	58,538
Indirect Expense-Non US	7,926	7,965	8,503	9,406	10,270	11,073	14,556
Grand Totals-US plus Non-US							
Grand Total	311,892	316,825	342,456	385,497	401,050	417,037	444,275
Grand Total Direct	225,398	233,188	251,632	292,236	307,345	315,569	334,392
Grand Total Indirect	86,494	83,637	90,824	93,261	93,705	101,468	109,883
% of Total from							
US Government	87.0%	87.0%	87.1%	87.3%	86.6%	86.1%	83.5%

1 Figures are for sponsored research only and do not include sponsored institutions or other non-research sponsored activity. In addition, SLAC expense is not included in this table.

2 Agency figures include both direct and indirect expense. Agency names are abbreviated as follows:
 DoD=Department of Defense
 DoE=Department of Energy
 NASA=National Aeronautics and Space Administration
 DoEd=Department of Education
 HHS=Department of Health and Human Services
 NSF=National Science Foundation

3 DLAM indirect costs are included in this figure.

SCHEDULE 13
Plant Expenditures by Unit¹
1993/94 through 1999/00
(in thousands of dollars)

Unit	1993/94	1994/95	1995/96	1996/97	1997/98	1998/99	1999/00
GSB	90	116	1,124	2,767	9,499	14,400	11,644
Earth Sciences	3,288	793	284	1,754	3,703	250	1,321
Education	0	161	187	1,127	3,478	454	297
Engineering	9,293	32,839	40,626	26,509	44,076	40,801	12,221
H&S	15,488	22,445	26,448	28,576	34,023	22,409	14,006
Law	129	7	34	391	1,208	1,031	156
Medicine ²	12,479	3,160	2,346	10,908	22,821	40,902	8,937
Libraries	413	1,852	5,783	10,000	16,216	17,823	10,666
Athletics	18,542	2,399	3,968	7,856	6,369	7,007	30,317
Housing	11,944	26,567	21,424	43,398	20,023	30,317	57,206
All Other ³	20,300	14,864	21,664	54,004	98,339	104,361	143,075
TOTAL	91,966	105,203	123,888	187,290	259,755	279,754	307,418

Source: Schedule G-5 in the Annual Financial Report

1 Expenditures are in thousands of dollars, from either Plant or borrowed funds, and for building construction or improvements, or infrastructure.

2 Includes the Faculty Practice Program when separately identified.

3 Includes General Plant Improvements expense.

SCHEDULE 14

Endowment Market Value and Rate of Return
1989/90 through 1999/00
(in thousands of dollars)

Year	Market Value of the Endowment (in thousands) ¹	Annual Nominal Rate of Return	Annual Real Rate of Return ²
1989/90	2,060,305	0.3%	(3.8%)
1990/91	2,299,483	17.3%	13.3%
1991/92	2,428,491	7.8%	5.2%
1992/93	2,853,366	19.0%	16.4%
1993/94	3,034,533	8.5%	6.5%
1994/95	3,402,825	15.2%	13.5%
1995/96 ³	3,779,420	20.2%	18.2%
1996/97	4,667,002	23.4%	21.2%
1997/98	4,774,888	1.3%	0.3%
1998/99	6,226,695	34.8%	33.3%
1999/00	8,885,905	39.8%	37.9%

Source: Stanford University Annual Financial Report

1 Includes endowment funds subject to living trust agreements.

2 The real rate of return is the nominal rate less the rate of price increases, as measured by the Gross Domestic Product price deflator.

3 The method of valuing some assets changed in 1995/96. The effect was to lower the market value for 1995/96 and beyond. The restated value for 1994/95 under the new methodology would have been \$3.2 billion.

SCHEDULE 15

Expendable (Designated & Restricted) Fund Balances at Year-End: 1989/90 through 1999/00

(in millions of dollars)

	1989/90	1990/91	1991/92	1992/93	1993/94	1994/95	1995/96	1996/97	1997/98	1998/99	1999/00	Avg Annual % Change 1989/90-1999/00
Academic Units:												
School of Earth Sciences	9.0	9.6	10.8	11.9	9.8	11.2	12.4	13.9	14.1	14.4	18.9	7.8%
School of Education	2.3	2.7	2.8	3.2	4.1	5.1	5.6	4.7	4.8	7.1	8.6	14.0%
School of Engineering	42.8	46.8	50.0	46.9	49.0	59.1	67.9	76.8	94.1	105.2	109.6	9.8%
Graduate School of Business	17.2	15.0	17.1	20.7	23.5	23.4	27.6	27.9	29.3	33.3	39.9	8.8%
School of Humanities & Sciences	36.2	39.4	39.4	44.0	49.8	53.6	53.7	65.9	74.2	80.2	86.3	9.1%
School of Law	6.2	5.7	6.1	7.5	5.3	5.7	6.2	8.6	10.9	10.7	11.3	6.2%
School of Medicine	126.5	144.0	146.8	154.1	167.3	171.8	196.6	209.5	225.6	252.2	270.9	7.9%
Dean of Research	20.8	25.1	26.2	27.4	28.7	27.7	41.0	44.0	49.1	53.2	42.4	7.4%
Hoover Institution	1.3	3.5	3.5	2.6	2.0	5.0	8.3	9.0	13.1	18.9	22.0	32.4%
VP for Undergrad Education									1.0	5.4	7.5	
Total Academic Units	262.4	291.6	302.7	318.2	339.5	362.7	419.2	460.4	516.2	580.6	617.4	8.9%

